

## APPLICANT INFORMATION

LEAD (LEGAL) APPLICANT: City of Auburn  
 CHIEF ELECTED OFFICIAL (NAME & TITLE): Norman E. Yoder, Mayor  
 MAILING ADDRESS: 210 East Ninth Street, P.O. Box 506  
 CITY: Auburn COUNTY: DeKalb CDBG County Code: 033  
 ZIP: 46706 PHONE: (260)-925-5430 FAX: (260) 920-3340  
 E-MAIL: neyoder@ci.auburn.in.us  
 CHIEF FINANCIAL OFFICER (NAME & TITLE): Patricia M. Miller, Clerk-Treasurer  
 PHONE: (260) 925-8233 EMAIL: pmmiller@ci.auburn.in.us  
 FEDERAL I.D. /TAX NUMBER: 35-6000943  
 DUNS NUMBER: 40284663

GRANT ADMINSTRATOR: Kristi Sturtz  
 ORGANIZATION: Sturtz Public Management Group  
 ADDRESS: 1208 Ruston Pass  
 CITY: Fort Wayne, IN  
 ZIP: 46825 PHONE: (260) 490-9739 FAX: (260) 489-9256  
 E-MAIL: kristi@sturtzpmg.com  
 DATE OF MOST RECENT CERTIFICATION FROM IOCRA: 10/08

PROJECT TYPE: Wastewater Separation Improvement Project

# OF BENEFICIARIES: 11,104

### PROJECT FUNDING SOURCES

	<u>Amount</u>
1. CDBG Disaster Recovery Request	\$1,000,000
2. Lead and/or Joint Applicants	\$980,000
3. USDA/SRF Loans	-
4. Private and/or Local Grants	-
5. CDBG Program Income	-
6. Total Non-CDBG Funds (add lines 2 – 5)	\$980,000
7. Other Government Grants:	-
<b>10. TOTAL PROJECT COST</b>	<b>\$1,980,000</b>

**-APPLICANT INFORMATION  
CONTINUED**

**GRANT ADMINISTRATOR:** Kristi Sturtz

**METHOD OF PROCUREMENT (check one):** RFP  Local Funds  Not Yet Procured  
 NA

**ARCHITECT/ENGINEER:** GAI Consultants

**METHOD OF PROCUREMENT (check one):** QBS  RFP  Local Funds  Not Yet  
Procured

**FAIR HOUSING ORDINANCE (check one):** On file with IOCRA   
Attached (Appendix A-2)  None

**DRUG FREE WORK-PLACE POLICY (check one):** On file with IOCRA  Attached  
(Appendix A-3)  None

**Applicant's Annual Operating Budget (TOTAL recent DLGF-Department of Local  
Government Finance-approved budget):** \$9,551,679

**Is the project located in a floodplain?**      X   Yes    No

**In what Indiana Senate District is this project?** 14  
**State Senator representing this district:** Dennis Kruse

**In what Indiana House of Representatives District is this project?** 51, 52  
**State Representative representing this district:** Richard "Dick" Dodge, David Yarde

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# PROJECT DESCRIPTION AND JUSTIFICATION

## **Project Description:**

The North Side Sewer Improvements Project is part of Phase III of the Long Term Control Plan for the City of Auburn, designed to reduce the number of combined sewers and eliminate the CSO's from the collection system through the construction of relief sewers.

The proposed construction of the North Side Sewer Improvements Project is located on the north side of the City of Auburn in DeKalb County, Indiana within the Cedar Creek Watershed. The primary area of impact lies north of Seventh Street, west of Cedar Creek, south of Madison Street, and east of Van Buren Street. However, the proposed improvement will have a greater community-wide impact, particularly, to the areas south of Seventh Street along and west of Cedar Creek and west of the Auburn Port Authority railroad tracks as these areas are connected within the same drainage and sanitary sewer systems.

Most of the sewers in the project area are aging combination sewers that allow infiltration of clear water into the city's sewage collection system. During rain events, storm water discharges into downspouts and sump pumps that are connected to the sewer system, and infiltrates through leaking pipe joints and deteriorated tiles. This excess water entering the combined sewers causes an overload on the sewer system and treatment plant, and allows the discharge of pollutants through four CSO outfalls in the sewage collection system into the waters of Cedar Creek.

The intent of the North Side Sewer Improvements project is to separate the combined sewers in the area by the construction of new storm sewers and sanitary sewers. Diversion of clear water into the new storm sewers will help eliminate the excess water from the system and reduce the number of sewer system overflow events. The separating of these sewers will gradually allow other areas outside the North Side Sewer Improvement study area to be separated.

The project includes the installation of approximately 7,010 feet of open-cut storm and sanitary sewer, approximately 9,320 feet of pipe lining, sewer point repairs, two hydrodynamic separators, related appurtenances and surface restoration. See engineering on attachment pages 43 and 44. (The engineering is already completed for this project.)

## **Impact to the Ability to Protect Life and Property:**

This project will allow the City of Auburn to more effectively and efficiently manage wastewater and stormwater flow. It will assist with the elimination of collection component overflows, sewer backups and flooding, therefore protecting the environment and public health, reducing property damage and enabling the City to meet regulatory requirements.

The Cedar Creek Watershed has a large drainage area that extends into two additional counties upstream of the City of Auburn. Cedar Creek enters from the north and meanders southerly through the central part of the city. Cedar Creek is a major tributary with its headwaters coming from the Steuben County to the north and the Noble County to the west. The vastness of the upstream watershed contributes to the vulnerability of the City of Auburn in regards to flooding.

Cedar Creek is often subject to flooding in the spring and winter months. In January/February 2008, frozen ground conditions combined with extremely heavy precipitation resulted in major flooding in northeastern Indiana, including the City of Auburn. Floodwater could not drain away leading to additional structural damages to residential and business structures. Damages included residential/structural, emergency services response, street closures, street repairs, and other miscellaneous infrastructure repairs. In March 2009, DeKalb County experienced significant rainfall over a three-day period including several micro-downbursts combined with saturated ground conditions resulted in record flooding including a "record" flood crest on Cedar Creek. These occurrences are not new. Other more recent recorded flooding events have occurred in January 2005, May 1996, and March 1982.

During times of heavy snowmelt and heavy precipitation, the City's combined wastewater system contributes to sewage overflows and backups. Residential property owners such as Terry and Lori Berndt, 832 Midway Drive have had continued flooding problems. According to the letter of support provided by the Berndt's, "We have resided at our recent address for 11 years, during which the streets and basements in our area have flooded at least six times." David Conrad and Ryan Dunn at 910 and- 911 N. Van Buren Street respectively, have reported similar situations. See letters of support on attachment pages 46-53.

In addition to those three (3) residences mentioned above, eighteen (18) residences and two (2) businesses reported backups in their basements or buildings and fifty-five (55) residences and four (4) other businesses or community structures reported sewer backups, related damage due to inadequate drainage and other related flooding issues within their buildings or on their properties, (backups in basements, localized drainage problems and flooding of their property) as identified by the DeKalb County Homeland Security Department from their 2009 records. See attachment pages 54-59. This project will eliminate or reduce these backups and damages to these residences and businesses. This project will also help facilitate the flow of water to the City's Water Treatment Plant, and reduce CSO's and sewage backups community wide.

The Messenger Corporation building is located immediately southeast of where project improvements will occur. This is a printing company, for fine quality funeral supplies distributed throughout the continental U.S. This company has been operating in Auburn for 77 years, providing employment to over 100 employees.

The Messenger building is compromised each time a heavy rain event occurs or the flooding of Cedar Creek. This has resulted in sewer backups and flooding of the lower floor of the building. The urgency to protect the Messenger building was realized in March 2009 when Cedar Creek floodwater crested at record levels, resulting in approximately 17" seventeen inches of floodwater in the lower level of the Messenger Building, causing major damage to the production equipment and raw stock materials as well as finished product located in the lower level of the building. The flood damage resulted in the Messenger Corporation shutting the plant down for several days, while floodwater, mud, and debris were removed from the building and damaged equipment was repaired. The flood damaged to the Messenger building resulted in a significant economic loss to the Messenger Corporation and the City of Auburn. A Flood Mitigation Grant application has been submitted to the Indiana Department of Homeland Security to retrofit the structure. The North Side Sewer Separation Project should also help mitigate flooding by reducing sewage backup into the building. See attachment page 46 for a support letter from Messenger and 2009 flooding photos on attachment pages 60-61. Several other businesses including Evans Auburn, Inc., DeKalb County Annex building, Shelter Ministries, Moss Construction Cost Management, and DeKalb County Fairgrounds have suffered drainage backups due to the aging combined sewer systems.

## **Financial Need and Vitality to Economic Recovery:**

Throughout northeast Indiana, the large automotive manufacturing base has been hit particularly hard by the recent economic downturn. Industrial plant closings and layoffs have had a trickledown effect on the smaller machine shops and suppliers throughout the region. DeKalb County experienced an 83% increase in the unemployment rate from 6% in May 2008 compared to 11% in May 2010 (Indiana Department of Workforce Development). 2008 Median household income is \$47,529 (9% lower than the national average) according to the US Census. According to income survey conducted in October, 2009, 52.88% of the population met the Low-to-Moderate Income (LMI) criteria - a nearly 68% increase in a ten year period!

The City of Auburn has not made previous CDBG infrastructure applications due to the prior higher income levels for the Auburn community. In fact, the last Community Focus Fund grant applied for and awarded to the City was in 1997 for the Hidden Creek Subdivision low-to-moderate income housing project.

The funding that would be provided through this grant would relieve a significant financial and economic burden that the City of Auburn is facing. In addition to economic downturn, the City is faced with mandates to comply with an agreed judgment signed between the City and IDEM in 2007 to make improvements to the City's wastewater system. This project would have a direct impact on addressing issues of the IDEM Agreed Judgment.

The estimated total cost for all improvements identified in the City's Long Term Control Plan (LTCP) is \$30,000,000. In 2009, the City received a SRF grant/loan and raised rates by 77% from \$25.70 to \$45.46 to pay for Phase 1 of the LTCP to upgrade the Wastewater Treatment Plant at a cost of \$15,591,480. The purpose of this project is to increase capacity for treatment. Phase II of the LTCP is estimated to cost approximately \$5,000,000 and will provide a main trunk line through the City to address conveyance and Phase 3 focuses on the separation of combined sewer throughout the community at a total estimated cost of approximately \$10,000,000. Phase 4 will address additional wet weather capacity needs at the plant, as necessary after the results of Phases 1-3 are known.

Within the past 17 years, the City of Auburn has focused its resources on meeting the obligations of upgrading and improving the wastewater collection system by expending over \$1,000,000.00 into various sewer improvements. (See detailed project listing in attachment page 45). The obligation to address the wastewater needs and requirements has left little resources available for other projects to improve the quality of life of the community and to generate private, economic interest and investment. In addition, high utility rates detract from the City's ability to attract business and industry to the community. Disaster Recovery 2 funding would enable the City of Auburn to keep sewage rates where they are at. If not funded, a rate increase of \$2.26 (in addition to the \$19.76 increase in 2009) would be needed to address project needs. By focusing on this, rates will remain stable, and the City can begin to focus on more proactive ways to reinvigorate the economy. In addition, this project will mitigate a specific flooding problem being experienced at the Messenger Building, an important business to the City of Auburn, by ensuring the continued presence of the company in the community.

# PROJECT COMPLETION TIMETABLE

Beginning with the application submission date, outline below a reasonable timetable for project completion. Include all significant milestones, emphasizing those related to plans, permits, bids, contracts, financing, acquisition of property, construction, and completion.

July 2, 2010	DR2 wastewater construction grant application due
September 3, 2010	DR2 wastewater construction grants announced
(If Awarded) October 2010	City receives construction grant agreement contract which gives authority to begin incurring costs for grant administration and engineering
October/November 2010	Publication of Combined Notice of No Significant Environmental Impact/Request for Release of Funds Certification
January 2011	Construction permits received
February 2011	City advertises for construction bids
March 2011	City receives construction bids
April 2011	Release of funds is received for construction grant
April 2011	City awards contracts/commences construction
December 2011	Complete construction
February 2012	Administratively complete CFF construction grant



# INFRASTRUCTURE

Answer the following questions for All projects.

All other projects, mark N/A.

**Rate information:**

	CURRENT RATES	WITH CFF FUNDS	WITHOUT CFF FUNDS
Water rate for 4,000 gallons	\$19.82	\$19.82	\$19.82
Sewer rate for 4,000 gallons	\$45.46	\$45.46	\$47.72
Storm water fee/assessment	N/A	N/A	N/A
Combined rate for 4,000 gallons	\$65.28	\$65.28	\$67.54

- 1) **Total number of users on the system: 3,679**
- 2) **The gap for this project is \$2.26. The gap must be calculated using the IOCRA rate calculation worksheet.**

	Year current ordinance was passed	Year previous ordinance was passed	Change in rates (in dollars)
Water Ordinance	1999	1996	\$0.42**
Sewer Ordinance	2009	2002	\$19.76**

\*\* Rate for 4,000 gallons.

Attach and reference the most recent water and sewer rate ordinances. – See pages 33-42.

- 3) **Describe your rate history and what impacts this project will have on current rates.**

**History of Rate Increases:**

1. Sanitary Sewers:
  - a. On July 21, 1992, City Ordinance No. 92-17 increased sewer rates. For a typical user of 5,000 gallons, the rates went to \$34.60 per month.
  - b. City Ordinance No. 95-08 increased the sewer rates by 9%. This was done in two phases. A 4% increase in July 1995 to \$35.87 for 5,000 gallons per month and a 5% increase in January 1996 to \$37.70 for 5,000 gallons per month.
  - c. City Ordinance No. 2002-01 raised the fees for new sewer connections from \$500.00 to \$1,000.00 with \$200.00 per year raises until fees reached \$2,600 for those inside the city. It further set sewer rates outside the city limits at 125%.
  - d. City Ordinance No. 2009-11 increased sewer rates for 4,000 gallon usage by \$19.76 (a 77% increase).

This project will further increase the 2009 rates by another \$2.26 making it an 85% rate increase since 2002.

2. Water Utility:
  - a. City Ordinance No. 92.24, effective on July 20, 1993, set the Water Utility charge of \$16.76 for hydrant fees per customer.
  - b. City ordinance No. 96-11, effective in January 1997, increased this fee by 53% to \$31.68.

# INFRASTRUCTURE

(CONTINUED)

Provide the following information on the *existing* system for which the application is being submitted:

	Age	Capacity	Ave. Daily Flow/Usage	Max. Daily Flow/Usage	Date and type of most recent improvement
Sewer	71 years	4.5 mg	3.0 mg	9.0 mg	2010 – Plant Inspection
Water	N/A	N/A	N/A	N/A	N/A

**4) If new sewer or water lines are being installed as part of the project, describe the plans that are in place for undeveloped land around new lines.**

All sewer work will occur within existing right of way along existing residential areas. The areas where the improvements take place are already developed areas.



## IOCRA GAP CALCULATION WORKSHEET

### Answer only for water, sewer, or stormwater projects

This worksheet will allow you to quickly calculate the monthly rate impact on your utility customers that would result from financing your project without CFF grant assistance. This worksheet is not intended to substitute for a true rate analysis, but allows IOCRA to evaluate the impact of grant funds on all communities in a consistent way.

#### Costs without CFF

1. Grant Amount Requested	<u>\$1,000,000</u>
2. Debt Coverage Factor (assume 25%)	<u>\$250,000</u>
3. Total Funds Needed (multiply line 1 by 1.25)	<u>\$1,250,000</u>
4. Amortization Constant (4.5% APR)	<u>.00633</u>
5. Monthly Payment (multiply line 3 by line 4)	<u>\$7,912.50</u>
6. O/M Cost Factor (multiply line 5 by .05)	<u>\$395.63</u>
7. Total Monthly Costs (add lines 5 and 6)	<u>\$8,308.13</u>
8. Number of Users	<u>3,679</u>
9. Monthly Rate Impact (divide line 7 by line 8)	<u>\$2.26</u>

The result on line 9 should give you the amount that your community would have to increase the monthly rate charged to each customer without CFF grant assistance, given the above assumptions. This is the “gap”, which is the amount by which grant funds will reduce or “buy down” your utility rates. This amount added to the actual rates anticipated with CFF funds will give you the rates needed “without CFF funds”.

# PROJECT BUDGET

Immediately following this page you must include:

- A detailed project budget with cost allocations (CDBG/OTHER FUNDS) See pages 11-12.
- Applicant Annual Budget (CTAR/CAR) See pages 29-32.

## TOTAL SOURCE OF FUNDS

ACTIVITY	CDBG DISASTER RECOVERY	SRF/USDA LOAN	SRF/USDA GRANT	OTHER TIF	TOTAL PROJECT COSTS
Construction Costs	1,000,000	0	0	749,700	1,749,700
Professional Fees	0	0	00	192,300	192,300
Labor Standards <small>(see fee schedule)</small>	0	0	0	5,000	5,000
Land Acquisition <small>(if any)</small>	0	0	0	0	0
Environmental Review <small>\$3,000 maximum</small>	0	0	0	3,000	3,000
Administration <small>(2.5% or 3% of Grant Amt)</small>	0	0	0	30,000	30,000
<b>TOTAL</b>	<b>1,000,000</b>	<b>0</b>	<b>0</b>	<b>980,000</b>	<b>1,980,000</b>

**\* Do not include any miscellaneous, contingency, general costs, etc. in budget.**

\*Schedule of fees for Labor Standards on Disaster 2 projects:

<u>Total Project Cost</u>	<u>Fee</u>
Up to \$2,000,000	\$5,000.00
\$2,000,001 - \$5,000,000	\$7,000.00
\$5,000,001 and up	\$9,000.00

## Auburn North Side Sewer Project Itemized Budget

Division A Work				
Construction of New Systems				
NO.	Description	CFF	Local	Total
1	MOBILIZATION/DEMOBILIZATION	\$ 33,630.00	\$ 25,370.00	\$ 59,000.00
2	TRAFFIC CONTROL	\$ 19,950.00	\$ 15,050.00	\$ 35,000.00
3	8" PVC SDR 35 (SANITARY SEWER), 6 TO 10 FT. DEPTH	\$ 23,367.15	\$ 17,627.85	\$ 40,995.00
4	8" PVC SDR 21 (SANITARY SEWER), 6 TO 10 FT. DEPTH	\$ 6,327.00	\$ 4,773.00	\$ 11,100.00
5	12" PVC SDR 21 (SANITARY SEWER), 6 TO 10 FT. DEPTH	\$ 3,542.55	\$ 2,672.45	\$ 6,215.00
6	15" PVC SDR 21, 6 TO 10 FT. DEPTH	\$ 6,215.28	\$ 4,688.72	\$ 10,904.00
7	18" PVC SDR 21, 6 TO 10 FT. DEPTH	\$ 6,805.80	\$ 5,134.20	\$ 11,940.00
8	4" PERFORATED POLYETHYLENE, 0 TO 6 FT. DEPTH	\$ 3,283.20	\$ 2,476.80	\$ 5,760.00
9	12" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 0 TO 6 FT. DEPTH	\$ 14,144.55	\$ 10,670.45	\$ 24,815.00
10	12" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 6 TO 10 FT. DEPTH	\$ 18,354.00	\$ 13,846.00	\$ 32,200.00
11	15" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 6 TO 10 FT. DEPTH	\$ 11,799.00	\$ 8,901.00	\$ 20,700.00
12	18" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 6 TO 10 FT. DEPTH	\$ 35,568.00	\$ 26,832.00	\$ 62,400.00
13	21" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 6 TO 10 FT. DEPTH	\$ 5,779.80	\$ 4,360.20	\$ 10,140.00
14	24" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 6 TO 10 FT. DEPTH	\$ 14,264.25	\$ 10,760.75	\$ 25,025.00
15	30" PVC SDR 35 or HDPE CORRUGATED DUAL WALL, 6 TO 10 FT. DEPTH	\$ 12,064.05	\$ 9,100.95	\$ 21,165.00
16	12" D.I. CLASS 350, 0 TO 6 FT. DEPTH	\$ 10,972.50	\$ 8,277.50	\$ 19,250.00
17	15" RCP CLASS 3, 0 to 6 FT DEPTH	\$ 11,764.80	\$ 8,875.20	\$ 20,640.00
18	AQUA SWIRL, AS-5 (Union Street)	\$ 25,650.00	\$ 19,350.00	\$ 45,000.00
19	AQUA SWIRL, AS-12 (Clinton Street)	\$ 48,450.00	\$ 36,550.00	\$ 85,000.00
20	48" DIA., MANHOLE, 0 TO 6 FT. DEPTH	\$ 14,364.00	\$ 10,836.00	\$ 25,200.00
21	48" DIA., MANHOLE, 6 TO 10 FT. DEPTH	\$ 25,650.00	\$ 19,350.00	\$ 45,000.00
22	48" DIA., MANHOLE, 10 TO 14 FT. DEPTH	\$ 8,550.00	\$ 6,450.00	\$ 15,000.00
23	48" DIA., DOGHOUSE MANHOLE, 6 TO 10 FT. DEPTH	\$ 5,985.00	\$ 4,515.00	\$ 10,500.00
24	48" DIA., DOGHOUSE MANHOLE, 10 TO 14 FT. DEPTH	\$ 2,166.00	\$ 1,634.00	\$ 3,800.00
25	60" DIA., MANHOLE, 0 TO 6 FT. DEPTH	\$ 5,130.00	\$ 3,870.00	\$ 9,000.00
26	60" DIA., MANHOLE, 6 TO 10 FT. DEPTH	\$ 3,990.00	\$ 3,010.00	\$ 7,000.00
27	72" DIA., MANHOLE, 6 TO 10 FT. DEPTH	\$ 5,700.00	\$ 4,300.00	\$ 10,000.00
28	4'x4' CONFLICT MANHOLE STRUCTURE, 6 TO 10 FT. DEPTH	\$ 2,850.00	\$ 2,150.00	\$ 5,000.00
29	2' x 2' INLET	\$ 28,899.00	\$ 21,801.00	\$ 50,700.00
30	30" INLET	\$ 684.00	\$ 516.00	\$ 1,200.00
31	2' x 6' INLET	\$ 1,995.00	\$ 1,505.00	\$ 3,500.00
32	CONNECT TO EXIST. MANHOLE	\$ 1,425.00	\$ 1,075.00	\$ 2,500.00
33	CUT AND PLUG	\$ 5,386.50	\$ 4,063.50	\$ 9,450.00
34	MANHOLE REMOVAL	\$ 2,508.00	\$ 1,892.00	\$ 4,400.00
35	INLET REMOVAL	\$ 3,591.00	\$ 2,709.00	\$ 6,300.00
36	4" WATERMAIN RELOCATES	\$ 11,400.00	\$ 8,600.00	\$ 20,000.00
37	8" WATERMAIN RELOCATES	\$ 5,700.00	\$ 4,300.00	\$ 10,000.00
38	6" SEWER TAP	\$ 18,810.00	\$ 14,190.00	\$ 33,000.00
39	6" CLEANOUT	\$ 285.00	\$ 215.00	\$ 500.00
40	6" STORM SEWER TAP WITH 18"x18"x6" TEE and PLUG	\$ 1,368.00	\$ 1,032.00	\$ 2,400.00
41	8" STORM SEWER TAP WITH 18"x18"x8" TEE and PLUG	\$ 855.00	\$ 645.00	\$ 1,500.00
42	WATER SERVICE RECONNECTION	\$ 2,850.00	\$ 2,150.00	\$ 5,000.00
43	SANITARY SEWER / DRAIN TILE REPAIR	\$ 5,700.00	\$ 4,300.00	\$ 10,000.00
44	CONCRETE CURB REMOVAL AND REPLACEMENT	\$ 20,178.00	\$ 15,222.00	\$ 35,400.00
45	B-BORROW	\$ 39,900.00	\$ 30,100.00	\$ 70,000.00
46	COMPACTED AGGREGATE, # 53/73 STONE	\$ 10,374.00	\$ 7,826.00	\$ 18,200.00

47	COMPACTED AGGREGATE, # ½ STONE	\$ 2,827.20	\$ 2,132.80	\$ 4,960.00
48	WASHED GRAVEL, # 8/9 STONE	\$ 883.50	\$ 666.50	\$ 1,550.00
49	FLOWABLE FILL	\$ 427.50	\$ 322.50	\$ 750.00
50	HMA MIXTURE FOR PATCHING, 5" DEPTH	\$ 1,995.00	\$ 1,505.00	\$ 3,500.00
51	HMA MIXTURE FOR PATCHING, 5.5" DEPTH	\$ 57,228.00	\$ 43,172.00	\$ 100,400.00
52	HMA MIXTURE FOR PATCHING, 7.5" DEPTH	\$ 14,107.50	\$ 10,642.50	\$ 24,750.00
53	HMA MIXTURE FOR PATCHING, 3" DEPTH FOR ALLEY	\$ 11,115.00	\$ 8,385.00	\$ 19,500.00
54	DEMOLITION (CEDAR STREET)	\$ 11,400.00	\$ 8,600.00	\$ 20,000.00
55	PAVEMENT CONSTRUCTION, 5" DEPTH (CEDAR STREET)	\$ 25,080.00	\$ 18,920.00	\$ 44,000.00
56	SIDEWALK CONSTRUCTION, 4" DEPTH (CEDAR STREET)	\$ 1,923.75	\$ 1,451.25	\$ 3,375.00
57	SIDEWALK REPAIR, 6" DEPTH	\$ 855.00	\$ 645.00	\$ 1,500.00
58	ADA RAMP CONSTRUCTION	\$ 2,565.00	\$ 1,935.00	\$ 4,500.00
59	CONCRETE PAVEMENT, 7" DEPTH	\$ 47,880.00	\$ 36,120.00	\$ 84,000.00
60	TREE REMOVAL (20" TO 26" DIA.)	\$ 1,149.12	\$ 866.88	\$ 2,016.00
61	EROSION CONTROL	\$ 2,850.00	\$ 2,150.00	\$ 5,000.00
62	MULCHED SEEDING	\$ 1,322.40	\$ 997.60	\$ 2,320.00
	<b>Division A: Total Cost Estimate for Listed Items</b>	<b>\$ 731,834.40</b>	<b>\$ 552,085.60</b>	<b>\$ 1,283,920.00</b>
<b>Division B Work</b>				
Sewer Lining and Manhole Rehabilitation				
NO.	Description	CFF	Local	Extension
1	Mobilization/Demobilization	\$ 12,540.00	\$ 9,460.00	\$ 22,000.00
2	Traffic Control	\$ 5,700.00	\$ 4,300.00	\$ 10,000.00
3	8" Pipe Lining	\$ 24,738.00	\$ 18,662.00	\$ 43,400.00
4	10" Pipe Lining	\$ 90,288.00	\$ 68,112.00	\$ 158,400.00
5	12" Pipe Lining	\$ 69,357.60	\$ 52,322.40	\$ 121,680.00
6	Manhole Rehab (125 mil. Coating)	\$ 17,698.50	\$ 13,351.50	\$ 31,050.00
7	Manhole Rehab (300 mil. Coating)	\$ 30,922.50	\$ 23,327.50	\$ 54,250.00
8	Point Repair Work Allowance	\$ 16,921.00	\$ 8,079.00	\$ 25,000.00
	<b>Division B: Total Cost Estimate for Listed Items:</b>	<b>\$ 268,165.60</b>	<b>\$ 197,614.40</b>	<b>\$ 465,780.00</b>
	<b>Division A Plus Division B: Subtotal Project Construction Estimate:</b>	<b>\$ 1,000,000.00</b>	<b>\$ 749,700.00</b>	<b>\$ 1,749,700.00</b>
	<b>Engineering</b>	\$ -	\$ 192,300.00	\$ 192,300.00
	<b>Construction Total</b>	<b>\$ 1,000,000.00</b>	<b>\$ 942,000.00</b>	<b>\$ 1,942,000.00</b>
	Grant Administration	\$ -	\$ 30,000.00	\$ 30,000.00
	Labor Standards	\$ -	\$ 5,000.00	\$ 5,000.00
	Legal Fees	\$ -	\$ -	\$ -
	Permits	\$ -	\$ -	\$ -
	Environmental Review	\$ -	\$ 3,000.00	\$ 3,000.00
	<b>Admin. Sub-total</b>	<b>\$ -</b>	<b>\$ 38,000.00</b>	<b>\$ 38,000.00</b>
	<b>Total Project Cost</b>	<b>\$ 1,000,000.00</b>	<b>\$ 980,000.00</b>	<b>\$ 1,980,000.00</b>

# NATIONAL OBJECTIVE IDENTIFICATION

CHECK ONLY ONE OF THE NATIONAL OBJECTIVES BELOW. ALL PROJECTS MUST PROVIDE ALL INFORMATION REQUESTED ON THIS PAGE.

## Benefit to Low- and Moderate-Income Persons

Area Benefit       Limited Clientele       Housing       Jobs

## Prevention or Elimination of Slums or Blight

Area Basis       Spot Basis

## Urgent Need

**1) List percentage of population of low- and moderate-income persons served by this project: 52.88%**

**2) Source:**  2000 Census Data or  Income Survey (attach and reference income survey)

**3) Date of most recent income survey certification letter** October 13, 2009

**5) List the census tract number(s) that are included in the project area:** 202,204,205,206,207

**6) List the census tract block group(s) that is included in the project area** Tract 202 – BG 3; Tract 204 – BG 1,2,4; Tract 205 – BG 1,2,3,4,6; Tract 206 – BG 6; Tract 207 – BG 1

**7) Below, explain your selection of the above H.U.D. National Objective.**

The boundaries of the service area include the City of Auburn. See map, page 62. The project's intended beneficiaries are all residents of Auburn as designated by the boundary map and served by the sewer system. The certified income survey identified 52.88% of households in Auburn were low/mod income, which was identified through an income survey conducted by Jeff Hornsby. The proposed project will benefit all service area residents in Auburn. See National Objective documentation on attachment pages 62-74.

## BENEFICIARIES

Items 11-20 MUST add up to the number of people as well as low/moderate and non-low/moderate columns!

Project Title: Wastewater Improvement Project

Total Beneficiaries (all activities): 11,104

		Total	Of the total population in the service area how many are Hispanic?	Activity Description
	<b>Number of People</b>	<b>11,104</b>		<b>04b</b>
S I N G L E  R A C E	11 Number of Whites	10,850	114	
	Percent	97.710	.0103	
	12 Number of Blacks / African Americans	39	0	
	Percent	0.348	0	
	13 Number of Asian	46	1	
	Percent	0.414	0.008	
	14 Number of American Indian / Alaskan Native	11	1	
	Percent	0.100	.008	
	15 Number of Native Hawaiian / Other Pacific Islander	2	0	
	Percent	0.020	0	
M U L T I  R A C E	16 American Indian / Alaskan Native & White	40	4	
	Percent	0.414	.033	
	17 Asian & White	6	0	
	Percent	0.050	0	
	18 Black / African American & White	16	0	
	Percent	0.141	0	
	19 American Indian / Alaskan Native & Black / African American	0	0	
	Percent	0.00	0	
	20 Balance / Other	94	74	
	Percent	0.847	0.666	
	Number of Handicapped	1,597	N/A	
	Percent	14.378	N/A	
	Number of Elderly People	1,743	N/A	
	Percent	15.70	N/A	
	Number of Female-headed Households	468	N/A	
	Percent	10.15	N/A	
	<b>Number of Low/Moderate-Income People</b>	<b>5,872</b>	N/A	
	Percent	52.88	N/A	
	<b>Number of Non-Low/Moderate People</b>	<b>5,232</b>	N/A	
	Percent	47.12	N/A	



## BENEFICIARIES

Number of households receiving <b>new</b> assistance:	0
Number of households receiving <b>improved access or service</b> :	4,927
Number of households that will <b>no longer have substandard service</b> upon completion of the project:	0

### Sources:

1. 2009 Income Survey
2. Stats Indiana CDBG Beneficiaries Data Extract from Census 2000

# CITIZEN PARTICIPATION REPORT

**1. Complete the following:**

Public Hearing Information	Date
Notice of public hearing	May 21, 2010
Date of public hearing	June 1, 2010

\*public hearing MUST be advertised at least 10 days prior to hearing date.

**2. Describe the methods used to solicit participation of low- and moderate-income persons:**

The City of Auburn published a public notice in the Evening Star on May 21, 2010 notifying the public of a hearing to be held on June 1, 2010. Bill Spohn, the Administrator of the Building and Planning Department also spoke with several property owners directly impacted by the project and Auburn Citizens, encouraging their attendance and involvement.

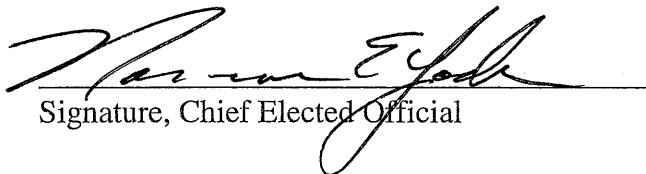
**3. Denote any adverse comments/complaints received and describe resolution:**

No adverse comments were received.

Please attach the following:

- \* Copy of publisher's affidavit of public notice.
  - \* Signed minutes of the public hearing including a sign-in sheet of attendees.
  - \* Copy of response(s) to comments and/or complaints.
- \*\* If a third party, such as a grant administrator, takes the minutes of the meeting, the applicant must include a signed letter stating that the third party is acting as its representative.

I certify that submission of this **application** has been duly authorized by the governing body of the applicant; that the applicant has the legal capacity to carry out the proposed project; that the proposed project is designed to meet the identified housing and community development needs of the community, including those of low- and moderate-income persons; and that the proposed project will minimize the need for displacement of businesses and families and provide reasonable benefits for those displaced. I also certify that the proposed project will be completed within eighteen (18) months of contract award, if approved.

  
 \_\_\_\_\_  
 Signature, Chief Elected Official

June 30, 2010  
 \_\_\_\_\_  
 Date

Typed Name and Title: Norman E. Yoder, Mayor

PUBLISHERS OF THE STAR

PUBLISHER'S CLAIM

COMPUTATION OF CHARGES:

80 lines, 1 column(s) wide equals 29.63
80 equivalent lines at 0.3704 cents per line,
Additional charges for notices containing rule or tabular work (50% of above amount) AND/OR extra proofs of publication (\$1.00 for each proof in excess of 2) equals 0.00
Data for computing cost: Width of single column - 12 ems Size of type - 7 points Size of quad upon which type is cast - 8 pt. Number of insertions - 1 29.63

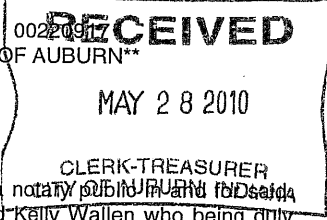
Table with 2 columns: LINE COUNT, and values for HEAD (1), BODY (78), TAIL (1), and TOTAL (80).

"Pursuant to the provisions and penalties of Chapter 155, Act 1953, (s)he further says that the foregoing account is just and correct, that the amount claimed is legally due, after allowing all just credits, and that no part of the same has been paid"

Date: 5/24/10

Kelly Wallen Legal Clerk

Ad #: 00220917 CITY OF AUBURN\*\*



PUBLISHER'S AFFIDAVIT

Noble County) SS: State of Indiana)

Personally appeared before me, a notary public in and for said county and state, the undersigned Kelly Wallen who being duly sworn said that (s)he is of competent age and is Legal Clerk of KPC Media Group Inc, publisher of a daily newspaper in Kendallville, county of Noble, State of Indiana, a weekly newspaper in Ligonier, county of Noble, State of Indiana, a daily newspaper in Auburn, county of DeKalb, State of Indiana, a daily newspaper in Angola, county of Steuben, State of Indiana, a weekly newspaper in Garrett, county of DeKalb, State of Indiana, a weekly newspaper in Butler, county of DeKalb, State of Indiana, and which during that time have been newspapers of general circulation, having bona fide paid circulations, printed in the English language and entered, authorized and accepted by the post office department of the United States of America as mailable matter of the second-class as defined by the Act of Congress of the United States on March 3, 1879, and that the printed matter attached is a true copy, which was duly published in said newspaper 1 times, the dates of publication being as follows : 05/21/2010

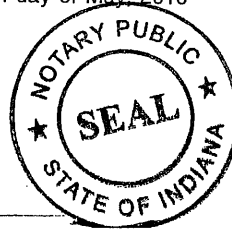
Affiant

Kelly Wallen

Notary Public

Mary Lu Newsom

Subscribed and sworn before me on this 24th day of May, 2010 My commission expires May 21, 2018



17

PUBLIC HEARING NOTICE On or about July 2, 2010, City of Auburn intends to apply to the Indiana Office of Community and Rural Affairs for a grant from the Office of Community and Rural Affairs CDBG Disaster Recovery Appropriation. This program is funded by the U.S. Department of Housing and Urban Development. These funds are to be used for job creation assistance. The total amount of Disaster Recovery funds to be requested is \$1,000,000. The amount of Disaster Recovery funds proposed to be used for activities that will benefit low- and moderate-income persons is \$528,800 (52.88%). The Applicant also proposes to expend an estimated \$980,000 in non-CDBG funds on the project. These non-CDBG funds will be derived from the following sources: Tax Increment Financing (TIF) funds \$980,000. City of Auburn will hold a public hearing on Tuesday, June 1, 2010, at 6:00PM, in City Council Chambers in City Hall at 210 E. Ninth Street, Auburn, IN to provide interested parties an opportunity to express their views on the proposed federally funded CDBG Disaster Recovery project. Persons with disabilities or non-English speaking persons who wish to attend the public hearing and need assistance should contact William Spohn at the Building, Planning and Development Department at 210 S. Cedar Street, Auburn, IN, 46706 or by phone at (260) 925-6449 not later than May 28, 2010. Every effort will be made to make reasonable accommodations for these persons. Information related to this project will be available for review prior to the public hearing as of May 24, 2010 at the office of the Building, Planning and Development Department located at 210 E. Ninth Street, Auburn, IN between the hours of 8:00AM and 4:00PM. Interested citizens are invited to provide comments regarding these issues either at the public hearing or by a prior written statement. Written comments should be submitted to Building, Planning and Development Department at 210 S. Cedar Street, Auburn, IN, 46706 no later than May 28, 2010 in order to ensure placement of such comments in the official record of the public hearing proceedings. A plan to minimize displacement and provide assistance to those displaced has been prepared by City of Auburn and is also available to the public. This project will result in no displacement of any persons or businesses. For additional information concerning the proposed project, please contact William Spohn at the Building, Planning and Development Department at 210 S. Cedar Street, Auburn, IN or by phone at (260) 925-6449 between the hours of 8:00AM and 4:00PM or write to William Spohn at Building, Planning and Development Department at 210 S. Cedar Street, Auburn, IN, 46706



"Home of the Classics"

Norman E. Yoder  
Mayor

# Mayor's Office

---

PO Box 506 Auburn, Indiana 46706 | 260.925.5430 phone | 260.920.3340 fax |  
[mayor@ci.auburn.in.us](mailto:mayor@ci.auburn.in.us) | [www.ci.auburn.in.us](http://www.ci.auburn.in.us)

May 26, 2010

Indiana Office of Community and Rural Affairs  
Community Affairs Division  
Attn: Community Affairs Director  
One North Capitol, Suite 600  
Indianapolis, Indiana 46204

This letter is to appoint William Spohn, Grant Administrator, as public hearing officer for the public hearing for the City of Auburn in regard to the Disaster Recovery 2 application, scheduled for June 1st at 6:00 p.m. Mr. Spohn will be responsible for taking the minutes, maintaining a sign-in sheet, and will participate in conducting the hearing.

Sincerely,

A handwritten signature in black ink that reads "Norman E. Yoder".

Norman Yoder  
Mayor



"Home of the Classics"


Patricia M. Miller  
Clerk-Treasurer

# Clerk-Treasurer's Office

PO Box 506 Auburn, Indiana 46706 | 260.925.6450 phone | 260.920.3341 fax | clerktreasurer@ci.auburn.in.us | www.ci.auburn.in.us

## CERTIFICATE

I, Patricia M. Miller, the duly elected and acting Clerk-Treasurer of the Municipal City of Auburn, DeKalb County, State of Indiana, do hereby certify that attached thereto is an approved copy of the Excerpts of the Minutes of the City of Auburn Common Council Meeting held on the 1st day of June, 2010 , and that the same will be spread of record in the Minute Book of the City of Auburn.

  
\_\_\_\_\_  
Patricia M. Miller, Clerk-Treasurer  
City of Auburn, Indiana



MINUTES OF THE REGULAR MEETING OF THE  
COMMON COUNCIL OF THE CITY OF AUBURN  
HELD ON JUNE 1, 2010

The Common Council of the City of Auburn met in regular session in the City Hall Council Chambers at 210 East Ninth Street on this 1st day of June, 2010, at the hour of 6:00 P.M. It was noted that proper legal notice had been given to the media as required by Indiana statute.

The meeting was called to order by Mayor Norman E. Yoder who presided.

MOMENT OF SILENT MEDITATION OR REFLECTION

Mayor Yoder asked all to stand for a moment of silent meditation or reflection.

PLEDGE OF ALLEGIANCE

Mayor Yoder led the group in the Pledge of Allegiance to the flag of the United States of America.

ROLL CALL

On call of the roll, members of the Council present were Mike Walter, Dick Stahly, Keith Schrimshaw, Marilyn Gearhart, and Dave Painter. Also present were City Attorney Erik Weber and Clerk-Treasurer Patricia Miller.

READING OF THE JOURNAL

**After review of the minutes, Councilwoman Gearhart moved to approve the minutes of the May 18th regular meeting of the Common Council.** The motion was seconded by Councilman Painter and was carried by the following vote of the Council: Walter, aye; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.

RECOGNITION OF VISITORS

Vivian Likes, William Spohn, Jim Littlejohn, Anita Bacon, Jerry Outman, Lynn Siples, Emma Taylor, Mike Littlejohn, Beth Snow, Dick Martin, Debra Klink, Thad Weghorst, Clinton Knauer, Chris Schweitzer, Tom Smith, Robert Overy, Mary Hohler, Connie Rademan, Patty McGill, Steve Bruns, Merv Delagrang, Ted Hansen, Barbara Stonestreet, Julie Western, Steve Eckert, Rod Walker, Lori Berndt, Terry Berndt, Gene Bosworth, Ronald Mautner, Sarah Mautner, Jonathan Moss, Barb Morrow, Blaine Stuckey, and Dave Kurtz with **The Star** were present.

CLERK-TREASURER

Clerk-Treasurer Patricia Miller presented payroll and general vouchers in the amount of \$870,651.03.

**Councilman Painter moved to approve the vouchers in the amount of \$870,651.03.** The motion was seconded by Councilwoman Gearhart.

Councilman Walter stated he would vote against voucher 23965 for membership to the Auburn Butler Chamber Partnership in the amount of \$199.00.

The motion to approve the vouchers as presented was carried by the following vote of the Council: Walter, aye with the exception of voucher 23965 to Auburn Butler Chamber Partnership in the amount of \$199.00 on which he votes nay; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.

\* \* \* \* \*

Clerk-Treasurer Miller presented a CF-1 form for Rieke Corporation.

Councilman Painter reported that he made a site visit. Employment was down but salaries had increased.

**Councilman Painter moved to approve Rieke Corporation as being in substantial compliance.** The motion was seconded by Councilwoman Gearhart.

Councilman Walter stated he would abstain from voting repeating his previous concerns with regard to substantial compliance being a Certified Public Account's question. Walter stated that since he has no way of actually knowing whether Rieke Corporation is in compliance or not in compliance, he will abstain.

The motion to approve Rieke Corporation as being in substantial compliance was carried by the following vote of the Council: Walter, abstain; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.

#### ORDINANCES AND RESOLUTIONS

**Councilwoman Gearhart read ORDINANCE NO. 2010-07 AN ORDINANCE TO AMEND THE ZONING MAP OF THE CITY OF AUBURN**

**Summary: This ordinance proposes to amend a portion of the zoning map of the City of Auburn, Indiana by changing the zoning of R-3P, Multi-Family Residential Planned Unit Development (PUD) District to C-2 General Commercial District. The City of Auburn Plan Commission by certification recommends this change and moved said Ordinance be passed on first reading.** The motion was seconded by Councilman Stahly.

Vivian Likes with the Building, Planning, and Development Department explained that the applicant and property owner requested this change to accommodate the construction of a new medical clinic and to add professional offices in the future. The clinic will be a single story building with a residential appearance with an attached garage.

The motion to pass Ordinance 2010-07 on first reading was carried by the following vote of the Council: Walter, aye; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.

\* \* \* \* \*

**Councilwoman Gearhart read RESOLUTION NO. 01-2010 A RESOLUTION AUTHORIZING APPLICATION SUBMISSION AND LOCAL MATCH COMMITMENT**

**Summary: This Resolution supports authorizing the submittal of the Community Development Block Grant (CDBG) Planning Grant application to the Indiana Office of Community and Rural Affairs and addressing matters related thereto and moved said Resolution be passed on first reading.** The motion was seconded by Councilman Stahly.

Mayor Yoder recessed the Council meeting and opened the Public Hearing for the CDBG Planning Grant Application for Downtown Revitalization Study.

Building, Planning, and Development Administrator William Spohn stated that this is for a planning grant application out of Community Block Grant Funds. This is the first of two public hearings that must take place. These meetings allow the opportunity for citizens to discuss the use of funds for the planning process as well as anything else that may come up regarding community development. The maximum grant award would be \$50,000.00. The City will supply matching funds in the amount of \$5,600.00. A Downtown Advisory Committee was formed. Three meetings took place with business owners and building owners. A summary of the items discussed were:

- Increase foot traffic to the downtown area
- Building façade renovation
- How to maintain the existing buildings
- Streetscape improvements
- Future grant opportunities
- Parking space for shoppers and residents
- Larger focal events
- Improve sidewalks
- Extend business hours

The Advisory Committee then created a scope for the revitalization plan as follows:

- Distinguish Auburn as a tourist destination
- Improve the appearance and function of Downtown
- Marketability and Promotion of the Downtown area
- Downtown Management Organization/Structure
- Economic Restructuring

Request for Qualifications were sent to ten to twelve consulting firms. Eight proposals were received. The review process is currently under way. The application should be submitted by the end of June; middle of July at the latest. Administrator Spohn went on to say that another part of the application calls for a second resolution which would address the condition of sidewalks, the number of vacant buildings, the number of buildings that are deteriorating, and the number of public facilities that need attention.

Councilman Walter asked for the definition of blighted area.

Administrator Spohn explained that blighted area is two or more public facilities in need of repair or if there are twenty five percent of the buildings vacant.

Councilman Walter asked if that definition appears in IC 36-7-14.

Administrator Spohn stated the City was told to use IC 36-7-14 as a guideline. The proposed resolution is the exact model from the Indiana Office of Community and Rural Affairs.

Councilman Walter expressed concern for the impact of designating an area as blighted with regard to the possible ramifications for insurance rates, banking institutions, and property taxes. Walter inquired if the individual residential property owners had been notified.

Jonathon Moss addressed the Council expressing support of the revitalization planning project.

Mayor Yoder closed the Public Hearing and reconvened the Council meeting.

The motion to pass Resolution 01-2010 on first reading was carried by the following vote of the Council: Walter, aye; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.



\* \* \* \* \*

**Councilwoman Gearhart read RESOLUTION NO. 02-2010 A RESOLUTION DESIGNATING BLIGHTED AREAS WITHIN THE CITY OF AUBURN, INDIANA**

**Summary: This Resolution supports designating blighted areas within the core central downtown area of the City of Auburn, Indiana with a desire to eliminate the blight within those areas and moved said Resolution be passed on first reading.** The motion was seconded by Councilman Painter.

Councilman Walter repeated his concern that there isn't a definition of blighted area in the statute. Walter further stated that the plain english definition of blight does not fit downtown Auburn. The Resolution designating areas as blighted is just not true.

Mayor Yoder asked Spohn if the City could receive the grant without this Resolution.

Administrator Spohn replied that the Resolution is a requirement for the grant money.

Councilwoman Gearhart stated that the "Whereas clauses" in the Resolution define blight.

Councilman Stahly asked how and who determined the boundaries of this area.

Administrator Spohn explained that this has been looked at for quite some time. The steering committee and the Mayor were included in the determination of the area.

Following further discussion, the motion to pass Resolution 02-2010 on first reading was carried by the following vote of the Council: Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Walter, nay.

\* \* \* \* \*

Mayor Yoder stated that the next Public Hearing addresses a CDBG DR-2 (Disaster Area) grant that if awarded will offset some of the cost to separate the storm sewer from the sanitary sewer from North Street to the north and east to Cedar Creek.

Mayor Yoder recessed the Council meeting and opened the Public Hearing for the CDBG DR-2 Application.

Administrator Spohn explained that the City is eligible to apply for this Community Development Block Grant due to the flooding issues a couple years ago which resulted in the declaration of a disaster area. The City could request up to a million dollars. The City would provide matching funds of another \$980,000.00. The grant application should be completed by the end of June. If the City does not receive this grant, there is another CDBG grant available through OCRA. Administrator Spohn stated that the City recently conducted a low-to-moderate-income benefit survey in which the City came in at 52.88 percent low/mod. Administrator Spohn reviewed the locations of the existing combined (sanitary and storm) systems by indicating these on the city maps. Administrator Spohn opened the discussion to the City Council and the public for any questions.

Ted Hansen asked if the streets would be totally torn up and would they be replaced with concrete or asphalt.

City Engineer Steve Bruns stated that if they are concrete now, they will be replaced with concrete.

Mayor Yoder stated that the City is under a court order to complete this sewer separation project over the next twelve years. If the City is awarded the grant money, it would reduce the amount of money the City would need to borrow and the rates would not be increased.

Robert Overy stated that it was his understanding that the new holding tank at the plant was to take care of the overflow.

Mayor Yoder explained that this is a three phase project. The tank and plant improvements are phase one. Phase two is the conveyance of water to the plant. Phase three is the separation of the sewer.

Mayor Yoder closed the Public Hearing and reconvened the Council meeting.

\* \* \* \* \*

**Councilwoman Gearhart read RESOLUTION NO. 03-2010 A RESOLUTION AUTHORIZING APPLICATION SUBMISSION**

**Summary: This Resolution supports and authorizes the submittal of the Community Development Block Grant Disaster Two (2) Application to the Indiana Office of Community and Rural Affairs addressing matters related thereto and moved said Resolution be passed on first reading.** The motion was seconded by Councilman Stahly and was carried by the following vote of the Council: Walter, aye; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.

REPORT OF MAYOR

NEW BUSINESS

MISCELLANEOUS

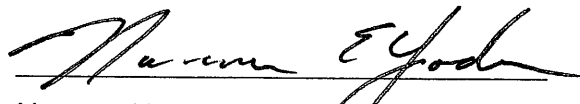
ANNOUNCEMENTS

The next Council meeting will be held Tuesday, June 15, 2010 at 6:00 P.M. in the City Hall Council Chambers.

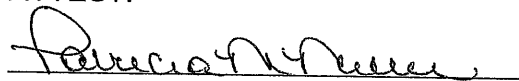
ADJOURNMENT

There being no further business to come before the Council, Councilwoman Gearhart moved the meeting be adjourned. The motion was seconded by Councilman Stahly and was carried by the following vote of the Council: Walter, aye; Stahly, aye; Schrimshaw, aye; Gearhart, aye; and Painter, aye. Nays, none.

APPROVED BY THE COMMON COUNCIL

  
Norman E. Yoder, Mayor

ATTEST:

  
Patricia M. Miller, Clerk-Treasurer

CITY OF AUBURN

MEETING: Council

DATE: 06-01-2010

NAME:

ADDRESS:

EXAMPLE: City Hall

210 E. Ninth St., Auburn, IN 46706

PLEASE PRINT

- 1 Vivian Likew
- 2 William Spil
- 3 Jim Littlejohn
- 4 Julia Deon
- 5 Terry Outman
- 6 Lynn Sipes
- 7 Emma Taylor
- 8 MIKE LITTLE JOHN
- 9 Beth Snow
- 10 Dick Martin
- 11 Debra Klink
- 12 THAD WEGHORST
- 13 Clinton Knauer
- 14 CHRIS SCHLEITZER
- 15 Tom Smith
- 16 ROBERT OVERT
- 17 Mary Hohler
- 18 Cherie Rademan
- 19 Jatti Mordick
- 20 Steve Brass
- 21 Merv Delagrangne
- 22 TED HANSEN
- 23 Debra Strasser
- 24 Julie Westerman
- 25 STEVE Eckert code enforcement

- Bldg. Planning, City of Auburn
- " " " "
- " " " "
- POSSIBILITIES 9TH
- 1041 W. 7th Auburn
- 129 E. 9th St Auburn
- 3607 CO RD 40A Auburn
- 1101 Cabriolet Blvd Auburn
- 500 S. Branchleaf Dr. Auburn
- 730 N. Main St Auburn
- 1801 N. Dewey St Auburn.
- 301 S Union St Auburn
- 815 Midway Pr
- 4791 ~~AB~~ CR 35 AUBURN
- 1118 SYCAMORE CT AUB.
- 109 Greentree Ct Auburn
- 302 N. Vay Buren Auburn
- 302 N. VAN BUREN
- 1002 N. VAY BUREN ST-
- 214 W B<sup>th</sup> Auburn
- 127 Madison Auburn
- 208 E 3rd St
- 812 E 3rd St.
- 1983 CR 35 WATERLOO IN 46793

CITY OF AUBURN

MEETING: \_\_\_\_\_

DATE: \_\_\_\_\_

NAME:

ADDRESS:

EXAMPLE: City Hall

210 E. Ninth St., Auburn, IN 46706

PLEASE PRINT

1 Dave Kurtz

The Star

2 Red Walker

The studio on Main st

3 LORI BERNDT

MIDWAY DR.

4 TERRY BERNDT

MIDWAY DR.

5 GELE BASLONOV

129 S. MAIN ST

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CITY OF AUBURN

MEETING: \_\_\_\_\_

DATE: \_\_\_\_\_

NAME:

ADDRESS:

EXAMPLE: City Hall \_\_\_\_\_

210 E. Ninth St., Auburn, IN 46706 \_\_\_\_\_

PLEASE PRINT

1

Ronald Mautner

2

Sarah Mautner

3

Jonathan Moss

4

Barb Morris

903 Midway Dr., Auburn

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Blaine Stuckey

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AGENDA  
COUNCIL MEETING  
JUNE 1, 2010 AT 6:00 P.M.  
COUNCIL CHAMBERS 210 E. 9<sup>th</sup> STREET

- Call to Order
- Moment of Silence
- Pledge of Allegiance to the Flag
- Roll Call
- Approval of Minutes
  - May 18<sup>th</sup> regular meeting
- Recognition of Visitors
- Communication
- Clerk-Treasurer
  - Current vouchers for General & Payroll
  - CF-1 Rieke Corp
- Ordinances and Resolutions
  - 2010-07 Amend Zoning Map-East side Plaza – 1<sup>st</sup> Reading
  - Public Hearing for CDBG Planning Grant Application
  - 01-2010 – Authorize CDBG Planning Grant Application – 1<sup>st</sup> Reading
  - 02-2010 – Support Designation of Blighted Areas within downtown Auburn– 1<sup>st</sup> Reading
  - Public Hearing for CDBG Disaster Two (2) Application
  - 03-2010 – Authorize CDBG Disaster Two (2) Grant Application – 1<sup>st</sup> Reading
- Report of Mayor
- Unfinished Business
- New Business
- Announcements
  - Next Meeting –Tuesday, June 15, 2010 @ 6:00 P.M.
- Miscellaneous
- Adjournment

(TAB-1) ANNUAL FINANCIAL REPORT  
 PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES, AND INVESTMENT BALANCES (GOVERNMENTAL FUNDS)  
 17-3-416, AUBURN CIVIL CITY, DEKALB COUNTY  
 FOR THE FISCAL YEAR ENDING 2005

UNIT'S FUND NO.	FUND NAME	UNIT'S FUND NAME	FUND TYPE	BEG. CASH BALANCE	RECEIPTS	DISBURSEMENTS	ENDING CASH BALANCE	INVESTMENTS	CASH AND INVESTMENTS
100 GENERAL		GENERAL FUND	GENERAL	\$1,171,559.29	\$5,290,571.18	\$5,649,503.88	\$812,626.59	\$0.00	\$812,626.59
0 PETTY CASH		PETTY CASH	GENERAL	\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
TOTAL GENERAL				\$1,174,059.29	\$5,290,571.18	\$5,649,503.88	\$815,126.59	\$0.00	\$815,126.59
435 CRIMINAL JUSTICE INSTITUTE GRANT		DRUG ENFORCEMENT GRANT	SPECIAL REVENUE	\$23,955.56	\$17,263.00	\$20,543.55	\$20,015.01	\$0.00	\$20,015.01
270 DONATIONS		CONTRIBUTIONS TO CITY	SPECIAL REVENUE	\$75,609.52	\$126,783.27	\$105,077.43	\$97,315.36	\$0.00	\$97,315.36
461 DRUG BUY MONEY		DRUG ENFORCEMENT AID	SPECIAL REVENUE	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00
460 DRUG ENFORCEMENT/EDUCATION		MULTI-COUNTY DRUG TASK FORCE	SPECIAL REVENUE	\$63,053.60	\$43,874.72	\$40,459.47	\$66,468.85	\$0.00	\$66,468.85
428 FEDERAL GRANTS # 1		MISCELLANEOUS FEDERAL GRANTS	SPECIAL REVENUE	\$0.00	\$15,873.00	\$15,873.00	\$0.00	\$0.00	\$0.00
250 FIRE DONATION		FIRE EMERGENCY CLEANUP	SPECIAL REVENUE	\$355.00	\$3,819.00	\$0.00	\$4,174.00	\$0.00	\$4,174.00
255 FIREARMS TRAINING		FIRE LIVING QUARTERS	SPECIAL REVENUE	\$892.11	\$1,154.91	\$1,281.46	\$765.56	\$0.00	\$765.56
475 INDUSTRIAL SEWER		INDUSTRIAL SEWER REVENUE	SPECIAL REVENUE	\$118,614.52	\$0.00	\$0.00	\$118,614.52	\$0.00	\$118,614.52
465 LAW ENFORCEMENT CONTINUING ED		LOCAL LAW ENFORCEMENT BL GRANT	SPECIAL REVENUE	\$61.60	\$0.00	\$0.00	\$161.60	\$0.00	\$161.60
425 LOCAL GOVT GRANT # 1		LAW ENFORCEMENT CONTINUING ED	SPECIAL REVENUE	\$23,344.71	\$14,954.27	\$5,125.00	\$33,173.98	\$0.00	\$33,173.98
205 LOCAL ROAD AND STREET		POLICE DEPT LOCAL GRANTS	SPECIAL REVENUE	\$1,172.32	\$0.00	\$0.00	\$1,172.32	\$0.00	\$1,172.32
200 MOTOR VEHICLE HIGHWAY		LOCAL ROAD AND STREET	SPECIAL REVENUE	\$118,423.48	\$59,393.41	\$65,827.90	\$111,988.99	\$0.00	\$111,988.99
440 OPERATION PULLOVER		MOTOR VEHICLE HIGHWAY	SPECIAL REVENUE	\$469,637.63	\$1,565,680.99	\$1,386,113.80	\$669,204.82	\$0.00	\$669,204.82
215 PARK NONREVERTING OPERATING		DUI GRANT FUND	SPECIAL REVENUE	\$300.00	\$8,042.00	\$8,342.00	\$0.00	\$0.00	\$0.00
210 PARKS AND RECREATION		PARK NONREVERTING	SPECIAL REVENUE	\$115,529.63	\$28,497.93	\$32,770.26	\$111,257.30	\$0.00	\$111,257.30
450 PASS THROUGH GRANT		PARKS AND RECREATION	SPECIAL REVENUE	\$447,286.70	\$403,714.27	\$578,244.62	\$272,756.35	\$0.00	\$272,756.35
105 RAINY DAY		ISTEA/ACD MUSEUM GRANT	SPECIAL REVENUE	\$3,755.00	\$0.00	\$0.00	\$3,755.00	\$0.00	\$3,755.00
445 SEIZED ASSETS		RAINY DAY	SPECIAL REVENUE	\$523,228.40	\$276,306.50	\$0.00	\$799,535.90	\$0.00	\$799,535.90
455 SPECIAL REVENUE - OTHER		FEDERAL SEIZURE FUND	SPECIAL REVENUE	\$0.00	\$0.00	\$0.00	\$17,280.00	\$0.00	\$17,280.00
480 TAX INCREMENT FINANCING #1		TOWER UDAG PROJECT	SPECIAL REVENUE	\$17,280.00	\$0.00	\$0.00	\$17,280.00	\$0.00	\$17,280.00
TOTAL SPECIAL REVENUE		TIF	SPECIAL REVENUE	\$6,490,125.03	\$31,195.88	\$345,465.31	\$6,675,855.60	\$0.00	\$6,675,855.60
486 CREDIT DEBT SERVICE		CREDIT DSR FUND	DEBT SERVICE	\$8,492,065.81	\$3,106,553.15	\$2,585,123.80	\$9,013,495.16	\$0.00	\$9,013,495.16
325 LEASE DRAW OUT		COMPUTER BLDG CORP DRAW OUT	DEBT SERVICE	\$771,170.00	\$0.00	\$271,170.00	\$0.00	\$0.00	\$0.00
315 LEASE RENTAL PAYMENT		FIRE STATION BLDG CORP	DEBT SERVICE	\$0.00	\$0.00	\$268,840.00	\$0.00	\$0.00	\$0.00
320 LOAN AND INTEREST PAYMENT		COMPUTER BLDG CORP LEASE	DEBT SERVICE	\$125,288.41	\$0.00	\$0.00	\$63,540.42	\$0.00	\$63,540.42
490 CAPITAL PROJECTS - OTHER		TOURING DRIVE PROJECT	CAPITAL PROJECTS	\$0.04	\$0.00	\$0.00	\$0.04	\$0.00	\$0.04
485 CREDIT CAPITAL PROJECTS		CREDIT	CAPITAL PROJECTS	\$1,866,945.02	\$471,471.95	\$825,295.50	\$1,509,121.47	\$0.00	\$1,509,121.47
400 CUMULATIVE CAPITAL DEVELOPMENT		CCD	CAPITAL PROJECTS	\$2,105,628.32	\$1,951,113.57	\$5,446.00	\$2,295,295.89	\$0.00	\$2,295,295.89
999 CUMULATIVE CAPITAL IMPROVEMENT		CCI	CAPITAL PROJECTS	\$243,237.68	\$37,425.40	\$0.00	\$280,663.08	\$0.00	\$280,663.08
430 FLOOD CONTROL CONSTRUCTION		FEDERAL REVENUE SHARING	CAPITAL PROJECTS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
470 GENERAL IMPROVEMENT		MAJUMEE RIVER BASIN ACQ PROJECT	CAPITAL PROJECTS	\$41,859.18	\$0.00	\$0.00	\$41,859.18	\$0.00	\$41,859.18
220 PARK AND RECREATION IMPROVEMENTS		GENERAL IMPROVEMENT	CAPITAL PROJECTS	\$126,522.34	\$288,477.28	\$361,904.68	\$53,094.94	\$0.00	\$53,094.94
420 PUBLIC IMPROVEMENT		RIEKE PARK CONSTRUCTION	CAPITAL PROJECTS	\$94,218.08	\$0.00	\$0.00	\$34,218.08	\$0.00	\$34,218.08
TOTAL CAPITAL PROJECTS		AUBURN DRIVE PROJECT	CAPITAL PROJECTS	\$4,408,410.66	\$92,488.20	\$1,392,646.18	\$4,208,252.68	\$0.00	\$4,208,252.68
710 POLICE PENSION		POLICE PENSION	PENSION TRUST	\$131,750.87	\$60,958.67	\$60,946.24	\$131,763.30	\$0.00	\$131,763.30
700 HEALTH INSURANCE		COBRA ADMINISTRATION	AGENCY	\$574.27	\$0.00	\$574.27	\$0.00	\$0.00	\$0.00
700 PAYROLL		PAYROLL	AGENCY	\$207,452.07	\$8,560,732.89	\$8,766,788.30	\$1,396.66	\$0.00	\$1,396.66
TOTAL AGENCY				\$208,026.34	\$8,560,732.89	\$8,767,362.57	\$1,396.66	\$0.00	\$1,396.66
GRAND TOTAL				\$14,874,311.80	\$18,239,439.27	\$18,795,592.67	\$14,318,148.40	\$0.00	\$14,318,148.40
LESS:					\$0.00	\$0.00			
INVESTMENT SALES:									
INVESTMENT PURCHASES:				\$155,000.00					
TRANSFERS IN:									
TRANSFERS OUT:									
NET RECEIPTS AND DISBURSEMENTS:				\$18,084,429.27					

(TAB-1) ANNUAL FINANCIAL REPORT  
 PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES, AND INVESTMENT BALANCES (ENTERPRISE FUNDS  
 17-3-4-6, AUBURN CIVIL CITY, DEKALB COUNTY  
 FOR THE FISCAL YEAR ENDING 2005

UNIT'S FUND NO.	FUND NAME	UNIT'S FUND NAME	FUND TYPE	BEG. CASH BALANCE	RECEIPTS	DISBURSEMENTS	ENDING CASH BALANCE	INVESTMENTS	CASH AND INVESTMENTS
613	ELECTRIC UTILITY-BOND AND INTEREST	ELECTRIC BOND & INTEREST	ENTERPRISE	\$720.27	\$0.00	\$0.00	\$720.27	\$0.00	\$720.27
619	ELECTRIC UTILITY-CUSTOMER DEPOSIT	ELECTRIC METER DEPOSIT	ENTERPRISE	\$83,084.12	\$34,072.39	\$45,915.13	\$71,241.38	\$0.00	\$71,241.38
611	ELECTRIC UTILITY-DEPREC/IMPROVE	ELECTRIC DEPRECIATION	ENTERPRISE	\$1,982,988.74	\$1,731,249.52	\$2,151,213.28	\$1,563,024.98	\$0.00	\$1,563,024.98
610	ELECTRIC UTILITY-OPERATING	ELECTRIC OPERATING & MAINTENANCE	ENTERPRISE	\$4,375,004.65	\$22,919,767.37	\$23,549,303.92	\$3,745,469.10	\$0.00	\$3,745,469.10
615	ELECTRIC UTILITY-OTHER #1	ELECTRIC CASH RESERVE	ENTERPRISE	\$102,316.73	\$106,000.00	\$115,000.00	\$95,316.73	\$0.00	\$95,316.73
633	WASTEWATER UTIL-BOND AND INTEREST	WASTEWATER BOND & INTEREST	ENTERPRISE	\$78,894.06	\$751,690.08	\$813,030.04	\$17,494.10	\$0.00	\$17,494.10
639	WASTEWATER UTILITY-CUSTOMER DEPOSIT	WASTEWATER METER DEPOSIT	ENTERPRISE	\$24,942.36	\$9,626.34	\$12,268.34	\$22,300.36	\$0.00	\$22,300.36
635	WASTEWATER UTILITY-DEBT RESERVE	WASTEWATER DEBT SERVICE RESERVE	ENTERPRISE	\$765,720.00	\$586,557.00	\$0.00	\$1,352,277.00	\$0.00	\$1,352,277.00
631	WASTEWATER UTILITY-DEPREC/IMPROVE	WASTEWATER DEPRECIATION	ENTERPRISE	\$1,422,923.33	\$438,700.29	\$924,439.74	\$937,183.88	\$0.00	\$937,183.88
630	WASTEWATER UTILITY-OPERATING	WASTEWATER OPERATING & MAINT	ENTERPRISE	\$1,271,654.65	\$3,196,143.56	\$3,881,716.70	\$586,091.51	\$0.00	\$586,091.51
632	WASTEWATER UTILITY-OTHER #1	WASTEWATER REPLACEMENT	ENTERPRISE	\$1,117,198.09	\$72,000.00	\$315,387.00	\$873,811.09	\$0.00	\$873,811.09
635	WASTEWATER UTILITY-OTHER #3	WASTEWATER CASH RESERVE	ENTERPRISE	\$20,700.00	\$165,000.00	\$165,000.00	\$20,700.00	\$0.00	\$20,700.00
623	WATER UTILITY-BOND AND INTEREST	WATER BOND & INTEREST	ENTERPRISE	\$11,210.71	\$40,677.84	\$417,653.50	\$14,335.85	\$0.00	\$14,335.85
628	WATER UTILITY-CONSTRUCTION	WATER CONSTRUCTION	ENTERPRISE	\$374,162.40	\$597.35	\$0.00	\$374,759.75	\$0.00	\$374,759.75
629	WATER UTILITY-CUSTOMER DEPOSIT	WATER METER DEPOSIT	ENTERPRISE	\$51,226.95	\$21,160.00	\$24,383.10	\$48,003.85	\$0.00	\$48,003.85
624	WATER UTILITY-DEBT RESERVE	WATER DEBT SERVICE RESERVE	ENTERPRISE	\$542,085.00	\$0.00	\$0.00	\$542,085.00	\$0.00	\$542,085.00
621	WATER UTILITY-DEPREC/IMPROVE	WATER DEPRECIATION	ENTERPRISE	\$1,066,534.37	\$264,488.60	\$25,815.14	\$1,307,107.83	\$0.00	\$1,307,107.83
620	WATER UTILITY-OPERATING	WATER OPERATING & MAINTENANCE	ENTERPRISE	\$37,572.59	\$2,253,045.07	\$2,612,239.12	\$178,378.54	\$0.00	\$178,378.54
625	WATER UTILITY-OTHER #1	WATER CASH RESERVE	ENTERPRISE	\$0.00	\$81,000.00	\$81,000.00	\$0.00	\$0.00	\$0.00
	TOTAL ENTERPRISE			\$13,830,949.02	\$33,053,715.21	\$35,134,363.01	\$11,750,301.22	\$0.00	\$11,750,301.22
	GRAND TOTAL			\$13,830,949.02	\$33,053,715.21	\$35,134,363.01	\$11,750,301.22	\$0.00	\$11,750,301.22

LESS:

INVESTMENT SALES:

INVESTMENT PURCHASES:

TRANSFERS IN:

TRANSFERS OUT:

NET RECEIPTS AND DISBURSEMENTS:

30



(CTAR-1) ANNUAL FINANCIAL REPORT  
 PART 5 - CASH AND INVESTMENTS  
 17-3-416, AUBURN CIVIL CITY, DEKALB COUNTY  
 FOR THE FISCAL YEAR ENDING 2009

ASSET TYPE	PURCHASE DATE	UNIT'S FUND NO.	FUND NAME	FUND TYPE	DESCRIPTION	SERIAL NO./ACCT NO.	MATURITY DATE	INTEREST RATE	PURCHASE COST	TOTALS
CASH		0			WELLS FARGO GENERAL	2946560		0.00	\$24,422,864.38	
CASH		0			WELLS FARGO ZERO ACCT	8-6403016		0.00	\$1,000.00	
CASH		0			NAT'L CITY PR WITHHOLDING	2054083		0.00	\$147,960.56	
CASH		0			NAT'L CITY PAYROLL	2052166		0.00	\$521,935.54	
CASH		0			PETTY CASH	130		0.00	\$2,500.00	
CASH		0			THE BANK OF NEW YORK	SRF AUBURN		0.00	\$1,352,277.00	\$26,448,537.48
TOTAL ALL FUNDS										\$26,448,537.48
TOTAL CASH										\$26,448,537.48
GRAND TOTAL										\$26,448,537.48

(CTAR-1) ANNUAL FINANCIAL REPORT  
 PART 6 - INDEBTEDNESS  
 17-3-416, AUBURN CIVIL CITY, DEKALB COUNTY  
 FOR THE FISCAL YEAR ENDING 2009

<u>TYPE OF DEBT</u>	<u>OUTSTANDING (BEG. YEAR)</u>	<u>ISSUED DURING YEAR</u>	<u>RETIRED DURING YEAR</u>	<u>OUTSTANDING (YEAR END)</u>	<u>INTEREST PAID (FULL YEAR)</u>
TOTAL	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

PART 7 - INTERGOVERNMENTAL EXPENDITURES

<u>PURPOSE</u>	<u>AMOUNT</u>	<u>TOTALS</u>
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First Reading 9/8/09 as amended  
Second Reading 9/22/09

Received Original 9/1/09 via email 3:46pm  
Received Revised 9/3/09 via email 9:24am  
Clerk-Treasurer's Office  
Auburn, Indiana

ORDINANCE NO. 2009-11

AN ORDINANCE AMENDING AND RESTATING RATES  
AND CHARGES FOR THE USE OF THE SEWAGE SYSTEM  
AND RELATED SERVICES OF THE CITY OF AUBURN

SUMMARY

This Ordinance authorizes the amendment and restatement of rates and charges of the sewer service of the City of Auburn, Indiana, as set forth in the Auburn City Code at Title V, Chapter 50, Sections 50.186 and 50.187.

_____ Recorder's Office	_____ <input checked="" type="checkbox"/> Publish Public Hearing
_____ Auditor's Office	<u>6:00pm September 22, 2009</u>
_____ Clerk's Office	_____ Publish O/R after Adoption
_____ Other	
_____ Auburn Internet Code Site	

It is hereby established and ordained by the Common Council of the City of Auburn, Indiana, that:

Section 1. Title V, Chapter 50, Section 50.186 of the Auburn City Code ("Code")

is hereby amended and restated as follows:

A) Metered Service

1)

Metered Users Base Rate	In-City Phase One	In-City Phase Two	Out-of-City Phase One	Out-of-City Phase Two
5/8 - 3/4 inch meter	\$8.55	\$ 9.42	\$10.69	\$11.78
1 inch meter	21.38	23.56	26.73	29.45
1 - 1/2 inch meter	35.42	39.02	44.27	48.77
2 inch meter	85.49	94.19	106.85	117.72
3 inch meter	196.63	216.63	245.78	270.78
4 & 6 inch meter	341.96	376.74	427.46	470.93

2)

Plus: Flow Rate	Per 1,000 Gallons	Per 1,000,Gallons	Per 1,000,Gallons	Per 1,000,Gallons
Operation & Maintenance and Replacement	\$4.81	\$5.30	\$6.02	\$6.63
Local Capital Charge	3.59	3.96	4.50	4.95

3)

Plus: Per Bill	Per Bill	Per Bill	Per Bill	Per Bill
Billing Charge	\$3.31	\$3.65	\$4.14	\$4.56
Unmetered Users	Monthly Amount	Monthly Amount	Monthly Amount	Monthly Amount

B) Unmetered Service

1) Residential single family	\$53.90	\$59.38	\$67.37	\$74.22
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Section 2. The rates and charges of Phase One approved in this ordinance shall become effective for the next billing cycle of November, 2009 following the passage of this ordinance. The rates and charges of Phase Two approved in this ordinance shall become

effective one year later, for the billing cycle of November, 2010. All other provisions of and all other rates and charges established by the Code shall remain in full force and effect.


Section 3. This ordinance shall be in full force and effect from and after its passage by the Common Council and signing by the Mayor.

Passed and adopted by the Common Council of the City of Auburn this 22<sup>nd</sup> day of September, 2009.

COMMON COUNCIL OF THE CITY OF  
AUBURN


  
\_\_\_\_\_  
Presiding Officer

ATTEST:

  
\_\_\_\_\_  
Patricia Miller, Clerk-Treasurer

Presented to me, the Mayor of the City of Auburn, Indiana this 22<sup>nd</sup> day of September, 2009.

APPROVED AND SIGNED by me this 22<sup>nd</sup> day of September, 2009.

  
NORMAN E. YODER, Mayor

VOTING:

AYE

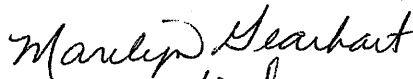
NAY

Dick Stahly

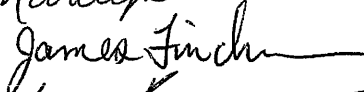


David Painter

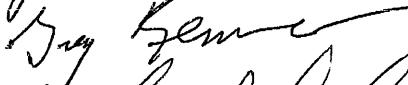
Marilyn Gearhart



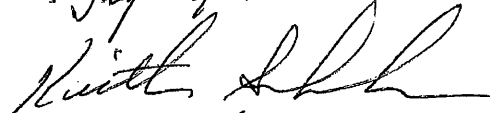
James Finchum



Greg Kenner



Keith Schrimshaw



Michael Walter



1st Reading 4/6/99  
2nd Reading 11/16/99 "as an

# COPY

ORDINANCE NO. 99-04

**RECEIVED**  
OCT 29 1999  
CLERK-TREASURER  
CITY OF AUBURN, INDIANA

**AN ORDINANCE ESTABLISHING RATES AND CHARGES  
FOR THE USE OF AND SERVICES RENDERED BY  
THE WATERWORKS SYSTEM OF THE CITY OF AUBURN  
AND REPEALING ORDINANCES NO. 92-24 and NO. 96-11**

**SUMMARY**

This ordinance establishes new water rates and charges pursuant to the cost of service study completed by Financial Solutions Group, Inc. If passed, the new rates would not go into effect unless and until approved by the Indiana Utility Regulatory Commission.

_____	Recorder's Office	<u>11/06/99</u> Publish Public Hearing
_____	Auditor's Office	<u>11/16/99 @ 6:00 P.M.</u>
_____	Clerk's Office	<u>11-17-99</u> X Publish O/R after adoption
<u>11/17/99</u> X	Other	<u>11/23 OR ASAP, BUT NO LATER THAN 12/15/99.</u>

BILLING CLERK  
BLUE BOOK  
CITY ATTORNEY (IURC)  
WATER DEPARTMENT  
BUILDING DEPARTMENT  
ENGINEERING DEPARTMENT  
FCG (GREG GUERRETTAZ)

**Y900 COPY**

1<sup>st</sup> Reading 4/6/99  
2<sup>nd</sup> Reading 11/16/99 "As Amended"

**ORDINANCE NO. 99-04**

**AN ORDINANCE ESTABLISHING RATES AND CHARGES FOR THE USE OF AND SERVICES RENDERED BY THE WATERWORKS SYSTEM OF THE CITY OF AUBURN AND REPEALING ORDINANCES NO. 92-24 and NO. 96-11**

**WHEREAS**, the existing rates and charges for the use of and services rendered by the waterworks system of said City are not sufficient to enable the City to properly operate its waterworks plant and provide for distribution and that the existing rates and charges should be changed; and,

**WHEREAS**, the Board of Public Works and Safety and Common Council have engaged its rate consultant, Financial Solutions Group, Inc. to study the financial position of the waterworks and to make recommendation as to a change in rates in an amount sufficient to assure the present and future financial well-being of said waterworks and that said consultants have recommended that the existing rates and charges should be changed as set forth herein, now therefore,

**BE IT ORDAINED BY THE COMMON COUNCIL OF THE CITY OF AUBURN, INDIANA:**

**Section 1.**

That there shall be and there are hereby established for the use of and the service rendered by the waterworks system of the City of Auburn, the following rates and changes, based on the use of water supplied by said waterworks system:

(a) Monthly Service Charge

5/8 – 3/4	inch meter	\$3.14
1	inch meter	\$6.15
1 ½	inch meter	\$12.31
2	inch meter	\$20.95
3	inch meter	\$45.61
4	inch meter	\$80.13
6	inch meter	\$178.78



1<sup>st</sup> Reading \_\_\_\_\_  
 2<sup>nd</sup> Reading \_\_\_\_\_

8	inch meter	\$316.88
10	inch meter	\$494.44
12	inch meter	\$711.46

(b) Metered Rates Per Month

Rates Per  
1,000 Gallons

First	15,000 gallons	\$2.49
Next	185,000 gallons	\$2.42
Next	300,000 gallons	\$2.30
Over	500,000 gallons	\$1.97

(c) Monthly Public Fire Protection Charge Per Meter

<u>Meter Size</u>	<u>Monthly Service Charge Per Meter</u>
5/8-3/4 inch	\$6.72
1 inch	\$17.20
1 1/2 inch	\$38.71
2 inch	\$68.81
3 inch	\$154.83
4 inch	\$275.25
6 inch	\$619.32
8 inch	\$1,101.00
10 inch	\$1,720.32
12 inch	\$2,477.28

(d) Fire Protection

Rates  
Per Annum

Annual Private Fire Hydrant Charge	\$760.80
Private sprinklers – per connection:	
2 inch connection	\$84.48
3 inch connection	\$190.20
4 inch connection	\$338.04
6 inch connection	\$760.80
8 inch connection	\$1,352.52
10 inch connection	\$2,113.44
12 inch connection	\$3,043.20

(e) Miscellaneous

Public drinking fountain - per annum	\$69.74
--------------------------------------	---------

(f) Water Service Attachments

All applications for service attachments to the water distribution pipes for the purpose of introducing water into any premises, as well as applications for the use of water, must be made at the Department of Building, Planning and Development by the owner of the premises into which water is proposed to be introduced. The application should be upon forms prescribed by the Department and signed by the applicant or his authorized agent.

The applicant shall pay in advance the following water tap charges, which shall include a corporation stop, curb stop, service box, copper tubing, back hoe, and operator service and labor:

3/4	inch	\$650.00
1	inch	\$750.00
1 ½	inch	\$850.00
2	inch	\$900.00
4	inch	\$1,500.00
6	inch	\$1,550.00
8	inch	\$1,750.00

\$200 for each inch over 8 inches.

(g) Non-recurring charges

The following non-recurring charges shall be made for the following transactions and/or services provided by the Auburn Water Department specifically set forth as follows:

Remote Installation Charge	\$20.00
Deposit	\$50.00
Frozen Meter Charge	\$100.00

Meter Set Fee	¾ inch	\$125.00
	1 inch	\$200.00
	over 1 inch	will be at actual cost

(h) Temporary Users

Water furnished to temporary users, such as contractors, etc., shall be charged on the basis of the above gallonage rates as estimated by the Waterworks Superintendent. When a meter is installed for such purposes, the regular water rates and minimum charges shall apply and the temporary user shall pay for the installation and removal of the meter.

(i) Collection or Deferred Payment Charges

All bills for water services not paid within seventeen (17) days from the due date thereof, as stated in such bills, shall be subject to a collection or deferred payment charge of 10% on the first \$3.00 and 3% on the excess over \$3.00.

**Section II.**

For the purpose of billing and collecting the charges for water use, the meters shall be read monthly and the users shall be billed monthly. Private fire hydrant and sprinkler charges shall be billed monthly at 1/12<sup>th</sup> of the annual charge.

**Section III.**

Ordinances No. 92-24 and No. 96-11 are hereby repealed, provided, however, that the existing schedule of water rates and charges shall remain in full force and effect until the schedule of rates and changes fixed by this ordinance shall be approved by the Indiana Utility Regulatory Commission, and until such time as the order of said Commission approving said new rates and charges shall direct.

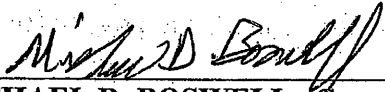
**Section IV.**

**BE IT FURTHER ORDAINED** that this Ordinance be in full force and effect from and after its passage by the Common Council and signing by the Mayor, provided, however, that the schedule

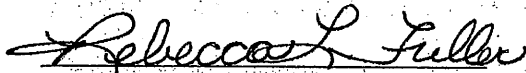
1<sup>st</sup> Reading \_\_\_\_\_  
2<sup>nd</sup> Reading \_\_\_\_\_

of rates and charges herein set out shall become effective beginning with the billing cycle starting January 2000.

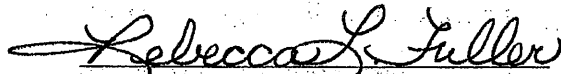
**PASSED AND ADOPTED** by the Common Council of the City of Auburn, Indiana, this 16th day of November, 1999.

  
\_\_\_\_\_  
**MICHAEL D. BOSWELL**, Councilmember

**ATTEST:**

  
\_\_\_\_\_  
Rebecca L. Fuller  
Clerk-Treasurer

Presented by me to the Mayor of the City of Auburn, Indiana, this 16th day of November, 1999.

  
\_\_\_\_\_  
**REBECCA L. FULLER**  
Clerk-Treasurer

**APPROVED AND SIGNED** by me this 16th day of November, 1999.

  
\_\_\_\_\_  
**NORMAN N. ROHM**, Mayor

**VOTING:**

**AYE**

**NAY**

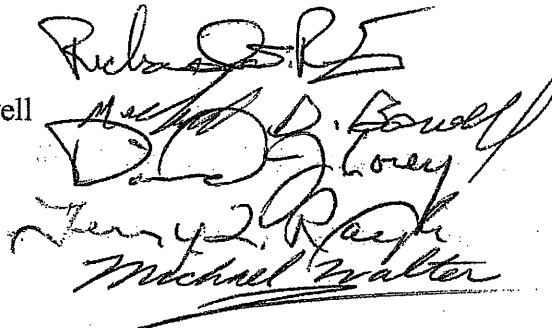
Richard S. Ring

Michael D. Boswell

David J. Lorey

Terry L. Rayle

Michael Walter



## Kristi Sturtz

---

**From:** Mark Jesse [mjesse@bonargroup.com]  
**Sent:** Wednesday, June 30, 2010 11:01 AM  
**To:** Bill Spohn; Kristi Sturtz  
**Cc:** Cheryle Culler  
**Subject:** Auburn Proposed Improvements Map and Project Description  
**Attachments:** PROPOSED SEWER LOCATION MAP.PDF

<<PROPOSED SEWER LOCATION MAP.PDF>>

### Work Included:

#### 1. Division A contract work

Construction of approximately 5,764 lineal feet of 4-inch through 30-inch storm sewers, approximately 1,246 lineal feet of 8-inch and 12-inch sanitary sewers, two (2) hydrodynamic separators, related appurtenances and surface restoration.

#### 2. Division B contract work

Lining of approximately 9,320 lineal feet of 8-inch through 12-inch sanitary sewers, 310 vertical feet of manhole lining, point repairs on existing sewers, related appurtenances and surface restoration.

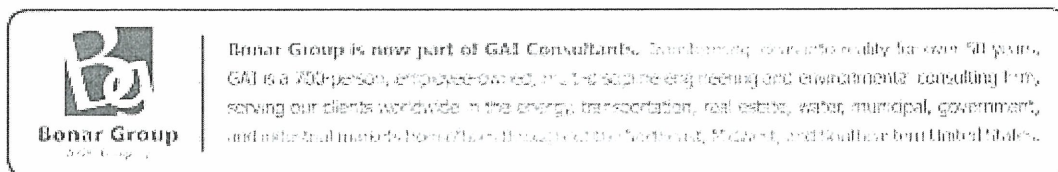
### Mark B. Jesse

Director - Municipal  
[mjesse@bonargroup.com](mailto:mjesse@bonargroup.com)

**Bonar Group**, A GAI Company  
1502 Magnavox Way

Fort Wayne, IN 46804

260.969.8800 ext 8822 | F 260.969.8888 | C 260.615.7182 | [www.bonargroup.com](http://www.bonargroup.com)



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# AUBURN, INDIANA

## NORTH SIDE SEWER IMPROVEMENTS PROJECT

### DIVISION A AND DIVISION B



- PROPOSED STORM SEWERS (DIVISION A)
- - - PROPOSED SANITARY SEWERS (DIVISION A)
- - - PROPOSED SEWER LINING (DIVISION B)



## HISTORY OF PAST SEWER PROJECTS

Year	Project Name	Estimated Cost
1992-93	Southwest Sewer Improvement Project	\$861,807.04
2004	Ontario Court new sanitary sewers for removal of lift station	\$19,695.00
2007	North Clark Street sewer separation	\$13,995.00
2008	Indiana Avenue (7th St to 9th St) sanitary & storm separation	\$21,589.25
2008	Hazel Street (15th St to 20th St) sanitary and storm sewer separation	\$38,158.92
2008	Betz Senior Villas storm sewer upgrade	\$50,000.00
	<b>Total Estimated Costs:</b>	<b>\$1,005,245.21</b>



318 East Seventh Street, Auburn, Indiana 46706

800-827-5151 Fax: 800-747-0716

[www.messengerstationery.com](http://www.messengerstationery.com)

June 29, 2010

Honorable Mayor Norman Yoder  
City of Auburn  
Auburn City Hall  
P.O. Box 506  
Auburn, Indiana 46706-0506

Dear Mayor Norman Yoder:

I am submitting this letter to express Messenger's support for the City of Auburn's grant application for a DR 2 project to improve the city's storm and sanitary sewer system.

The proposed project to reduce or eliminate the drainage problems within our area will reduce the frequent flooding and backup issues which have often resulted in costly maintenance repairs and property damage to our building and inventory. We have suffered through two recent floods due to both sewer backups within our building and external flooding from the Cedar Creek. Eliminating the drainage backup from within our building will save us lost time and material. This DR-2 application would support and complement our current efforts to obtain a flood proofing grant through the assistance of FEMA and the MRBC and administrated by the Indiana Homeland Security (IDHS) for our building to protect us from the external flooding.

We understand that this is part of a phased project that the City of Auburn is required to do to be in compliance with IDEM. Messenger supports the city's initiative to find ways to reduce the financial burden and impact to the city of Auburn and our business and industry. This project not only will assist our business today but will enhance the impact for our future economic growth and help the city of Auburn to continue to enjoy future economic growth in areas where improved infrastructure is needed and necessary.

Sincerely,

Kevin Keane

President

Messenger LLC



Terry and Lori Berndt  
832 Midway Drive  
Auburn, Indiana 46706-1128

Home: 260-925-4521  
[tiberndt@sbcglobal.net](mailto:tiberndt@sbcglobal.net)

June 10, 2010

Mr. William Spohn  
Administrator  
Department of Building, Planning & Development  
210 South Cedar Street  
Auburn, Indiana 46706

**Re: Support for Disaster Recovery Grant Application**

Dear Administrator Spohn:

Please accept this letter of support for the City of Auburn's application for a Disaster Recovery Grant to address flooding problems in our neighborhood and elsewhere in the City.

We have resided at our present address for 11 years, during which the streets and basements in our area have flooded at least six times. Enclosed are several photos taken in September 2000, during the worst of the floods we have experienced. After a day-long rain, six or more square blocks in our area of the City were flooded and impassible for nearly 24 hours.

The sewers eventually backed up, and the water pushed up and cracked the concrete floor in our basement, which then filled with sewer and storm water to a height of over three feet.

We replaced most of the floor in the basement, made extensive changes to our sewer system and installed twin sump pumps in anticipation of the next major flooding event. We also replaced a number of appliances. It was obviously very expensive, and it is not certain that it will be sufficient to save us in the next event.

Dozens of homes in our area of Auburn were damaged as extensively as ours by the 2000 flood, and several of those homes have been damaged on other occasions since 2000 by smaller storms.

Sewer back-up and flooding have been and continue to be a significant problem, and we cannot correct it without assistance. We strongly support the City of Auburn's application for this grant, and hope that it will receive equally strong consideration for approval.

Please call, write or e-mail us if you have any questions.

Yours truly,



Terry Berndt



Lori Berndt



After we removed the food from the freezer, it floated.



We fought the rising water with three emergency pumps and people carrying 5-gallon buckets of water up the stairs.

## William Spohn

---

**From:** David Lochner  
**Sent:** Friday, June 11, 2010 9:14 AM  
**To:** William Spohn  
**Subject:** FW: 911 N. Van Buren St. Water Drainage Problems

---

**From:** Ryan Dunn [mailto:oryandunn@gmail.com]  
**Sent:** Wednesday, June 09, 2010 9:13 PM  
**To:** David Lochner  
**Subject:** 911 N. Van Buren St. Water Drainage Problems

Dave,

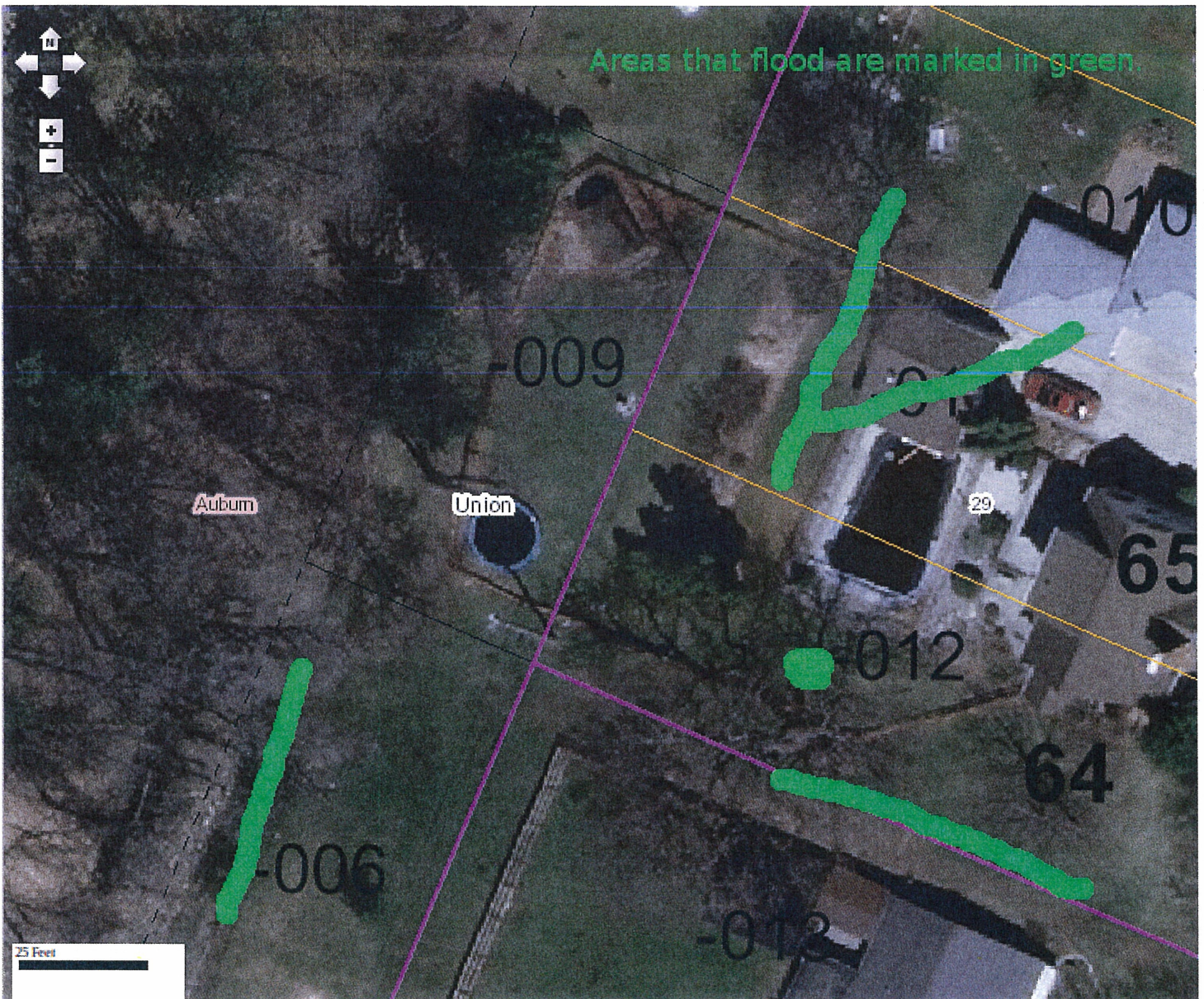
I've attempted to attach a zip file with all the pictures but it was too large, so I'm going to try sending several emails. I've also restated a few things below that I told you over the phone.

The pictures in the attached zip are from the March 2009 flooding. On the night of 10 March and morning of 11 March, my basement flooded by water entering my sump, both my main and backup pump couldn't keep up so I added a third temporary pump (total combined pumping strength ~80-90GPM, but still didn't make a dent). At the time, one of the pumps was connected to the sewer so actual pumping capacity was probably closer to 50GPM.

This picture is taken looking north behind my garage and into the neighbors yard. This area will flood, the water will flow through my garage (entering around the foundation in the southwest corner) flowing out of the garage and into the neighbors garage.



All of the pictures were taken after the snow melt and the rain on the 8th, so the water levels are below their max. Then, as noted above, my basement flooded on the next round of rain two days later.



There are other pictures in the attached zip that show the flooding. I apologize for the low quality on a few of them as they are cell phone pictures.

Also, again just this year on 21-22 May 2010 the sewers seemed to backup again to the point where I had a large flow of water into my basement. I've since installed a new backup pump and routed them to my driveway. The combined strength of both pumps at 10ft head is ~100GPM. This video here <http://www.youtube.com/watch?v=8HqFjEnzDjI> shows that both pumps were running near constantly suggesting that I had approximately 100GPM of water flowing into my sump. I don't have any drains in the basement that drain to the combined sewer as the basement is lower than the sewer line. I know I have clay tile sewer line that roots get into on a regular basis that I have snaked out. I believe (just speculation on my part) that when the sewer fills up, it leaks out of the sewer down to the tile drain around my foundation that leads into the sump pit. I only get large flows of water into my basement when the sewer is backed up (I check the cleanout out front during the large flows and the sewer is filled several feet above my line to the street).

Let me know if there is anything else I should do in the short term. Any suggestions of people to contact to

perhaps expedite this storm sewer project?

Regards,  
Ryan Dunn  
911 N. Van Buren St.

July 1, 2010

Mayor Norman E. Yoder,  
City of Auburn  
PO Box 506  
Auburn, IN 46706

Dear Mayor Yoder,

I understand from city officials and recent council meetings that the City is pursuing grant funding for a sewer separation project here in Auburn. I support this action wholeheartedly.

As a business and property owner here in the City, we periodically suffer from flooding issues and combined sewer overflows at our properties at 308 South Jackson Street and 305/307 East Ninth Street. These incidents are expensive in actual damage caused, property loss and business disruption. The health risks are an obvious concern and the increasing frequency of incidents is a major factor in our financial and business planning. Any action the city can take to mitigate the issue sooner rather than later is strongly encouraged.

I wish you success in seeking the means to make this happen.

Sincerely,



Jonathan Moss, FRICS, CCC, LEED AP, AVS  
President

To whom it may concern,

5/27/2010

For several decades the city of Auburn Indiana has wanted to separate the sewer lines from the storm drains for the obvious reasons but has not had the funding to do it. This separation is desperately needed and it is the right time to do it.

A supplemental grant to pay for the project would be welcomed and very much appreciated.

David Conrad  
910 North VanBuren Street  
Auburn, IN 46706

A handwritten signature in black ink, appearing to read 'David Conrad', written over a horizontal line.

**2009 MARCH, SEWER BACK-UP AND/OR FLOODING DAMAGES (IN FLOOD FRINGE)**

Address	Damage			Notes
	Destroyed	Major	Minor	
117 E 11th St		X		Floor & walls, basement, misc
221 E 11th St		X		4' in basement, floor buckled, furnace, HWH, washer/dryer
119 E 11th St			X	5' in basement
213 E 12th St			X	1" misc
106 E 12th St		X		3' in basement, cracked wall, household goods
209 E 13th St			X	2' in garage, car
204 E 13th St			X	5" in sheds-lost tools, lawnmower & A/C
205 E 14th St			X	4' in basement
215 E 14th St		X		14" in entire house-household goods
219 E 14th St			X	2-1/2' in basement, replace heating duct
210 E 14th St				Nobody home: Left form
214 E 15th St			X	water in home (no one home)
307 E Clinton			X	1' in basement, furnace
Shelter Ministries - 315 E 7th St		X		3.5' in basement, 2-furnaces, HWH, misc., cracks in floor, misc.
311 E 7th			X	8" in basement, carpet
Fairgrounds - 708 S Union St			X	44" in barns, 18" mid hall, 35" in draft house, 44" in food stand
				37" in exhibit hall, 18" in saddle club
Rena Messengers - 318 E 7th St			X	2.5'
County Bldg - 215 E 9th St			X	2 ft in basement
Clothes Shop			X	7' inside w/water & mud
302 N Union		X		42" in basement (standing 4 days), w/d, furnace, wall cracks, HWH
308 N Union		X		5' in basement (standing 7 days), w/d, furnace, HWH
402 N Union			X	54" in basement (standing 4 days), w/d, furnace, HWH
401 N Union			X	4" in basement, nothing lost
403 N Union		X		18" in basement, HWH, furnace
509 N Union			X	4' in basement (standing 4 days), HWH, softener, garage
601 N Union			X	3' in basement (standing 4 days), furnace, freezer, HWH, misc.
704A N Union			X	3' inside (standing 3 days), furniture
302 N Union			X	3' in basement, clothes
703 S Union			X	1" in house, w/d, A/C, furnace



## 2009 MARCH, SEWER BACK-UP AND/OR FLOODING DAMAGES (IN FLOOD FRINGE)

602 S Union						4' in basement
203 W 2nd			X	X		5' in basement, furnace, HWH, circuit box, misc.
200 E 3rd						3' in basement, furnace, HWH
208 E 3rd						6' in basement, 12" 1st flr, carpet, tile
212 E 3rd		X		X		45" in crawl space, 1' 1st flr
315 E 9th					X	7" in basement
Elks - 311 E 9th st		X				2' inside business, drywall
221 1st		X				7' in basement, carpet, paneling, basement floor cracked
310 E 1st		X				6', furnace, HWH, dehumidifier, w/d
216 E 1st			X			6' in basement, furnace, HWH, softner, floor buckled up
210 1st		X				2' in basement, cracked blockwall
211 1st		X				3' in basement crawl space, 2' in house
213 E 1st			X			6', furnace
702 S Cedar			X			5' in basement, w/d, softner, furnace, tv
606 S Cedar						Left form
602 S Cedar		X				6' in basement, furnace, HWH
509 S Cedar			X			5' in basement, lost everything
606 N Cedar			X			5' in basement, HWH, furnace, misc.
602 N Cedar			X			5' in basement, w/d, furnace, HWH
508 N Cedar				X		No report
506 N Cedar		X				8' in basement, cracks in floor
718 N Cedar			X			Water in crawlspace, furnace
712 N Cedar				X		Crawlspace
708 N Cedar			X			5' in basement, HWH, furnace, w/d, misc.
601 S Cedar		X				4' in areas, 32' in basement, wall cracks - left form
607 S Cedar			X			4', furnace, misc., HWH
406 S Cedar		X				6' in basement, w/d, cracks, furnace, floor buckled
404 S Cedar		X				5' in basement, w/d, misc., furnace, HWH, crack in wall
402 S Cedar			X			4', furnace, HWH
609 S Cedar		X				4' in basement, wall crack, HWH, furnace

2009 MARCH, SEWER BACK-UP DAMAGES (OUTSIDE OF FLOODPLAIN)

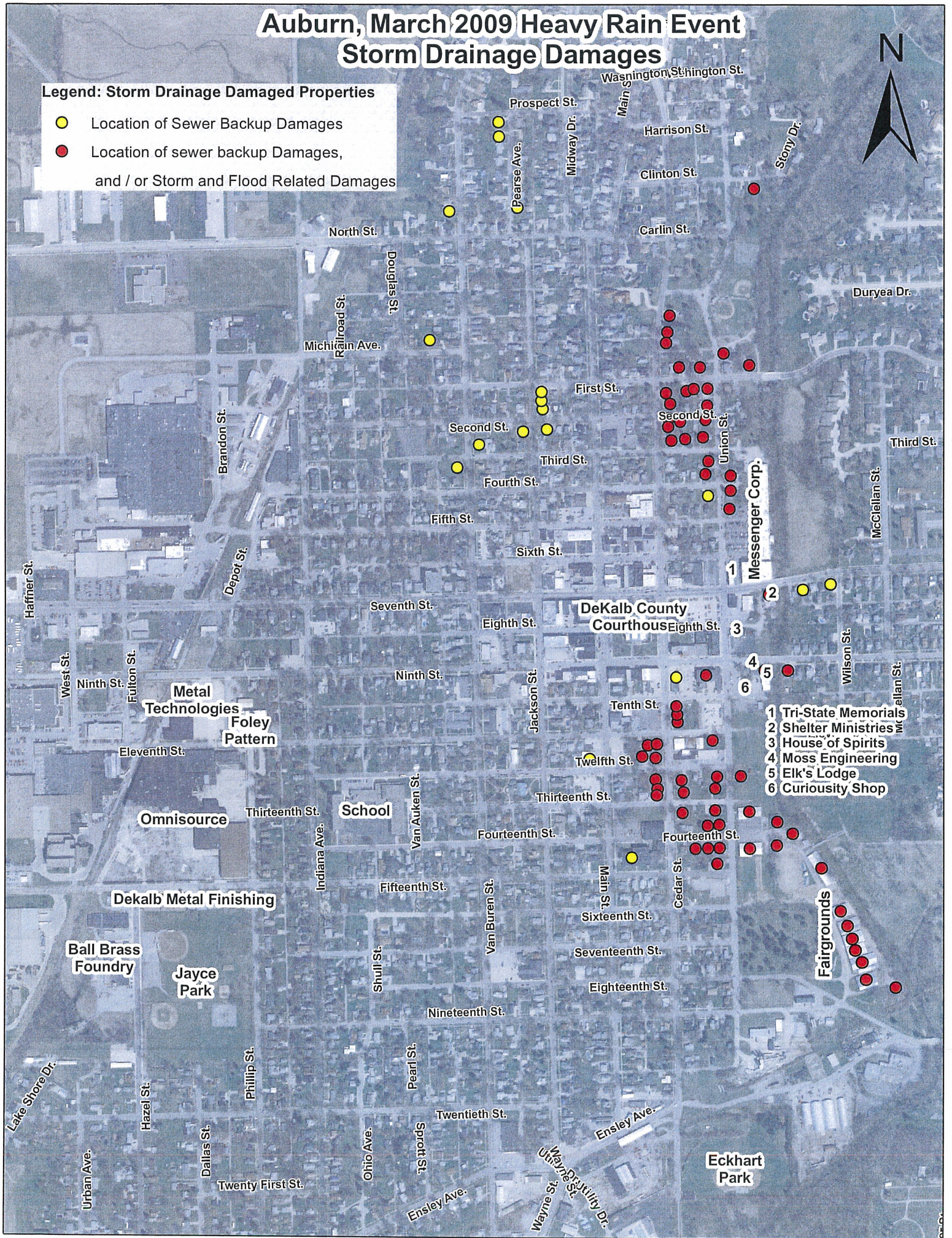
Address	Damage				Notes
	Destroyed	Major	Minor	Affected	
600 N Jackson St				X	3 Ft in basement
606 N Jackson St				X	10 inches in basement
610 N Jackson St			X		4 ft in basement
511 N Jackson St			X		6 ft in basement, personal misc.
316 Michigan St					3 Ft in basement, furnace, HWH
804 Pearse Ave				X	1 inch in basement
819 Pearse Ave				X	1 inch in basement
821 Pearse Ave				X	1 inch in basement
800 S Main St				X	2.5 ft in basement, refridgerator, 2 humidifiers, misc.
407 N Van Buren St			X		3 - 4 ft in basement
502 N Van Buren St		X			3 ft in basement, cracks, finished, furnace w/d
811 N Van Buren St				X	1 inch in basement
509 S Main St - Bassetts				X	1 ft in business
319 E 7th St			X		3 ft in basement, furnace, HWH, 3 ft in garage, HWH
327 E 7th St				X	2 inches in basement, paneling warped
203 E 9th St - Classic City Body Shop				X	6 ft in basement
309 N Union St				X	8 inches in basement, furnace
115 W 2nd St				X	little water

# Auburn, March 2009 Heavy Rain Event Storm Drainage Damages



## Legend: Storm Drainage Damaged Properties

- Location of Sewer Backup Damages
- Location of sewer backup Damages, and / or Storm and Flood Related Damages



- 1 Tri-State Memorials
- 2 Shelter Ministries
- 3 House of Spirits
- 4 Moss Engineering
- 5 Elk's Lodge
- 6 Curiosity Shop

March 2009 - Storm Water Damages



018 Union & 3rd St

MAR 11 2009



024 Union & 1st

MAR 11 2009



038 1st Ave N. of CR 36A

MAR 11 2009



017 3rd & N Union St

MAR 11 2009



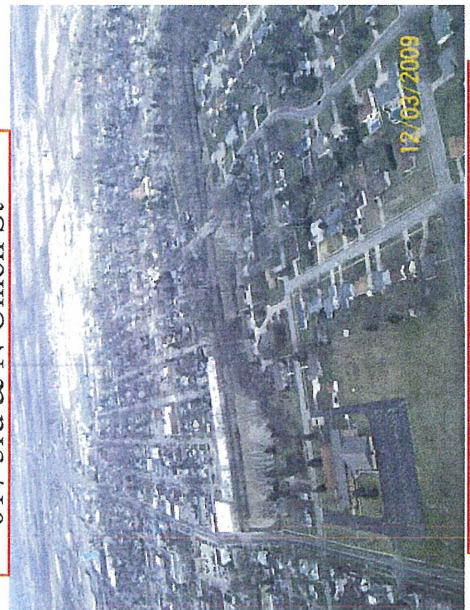
023 Union & 1st

MAR 11 2009



026 Carlin & Union

MAR 11 2009



046 E side of Creek - 1st & 7th

12/63/2009



019 Union & 1st

MAR 11 2009



025 Union & 1st

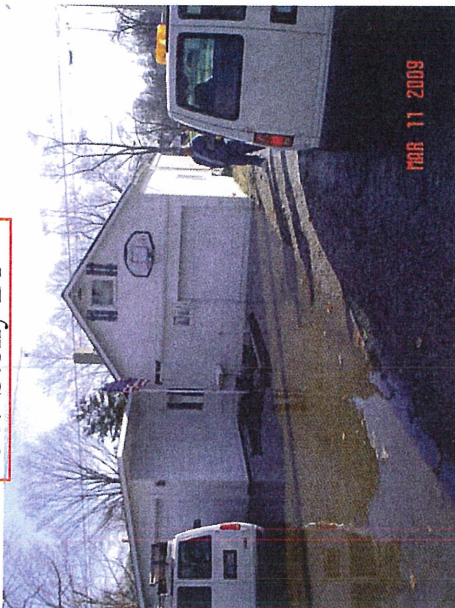
MAR 11 2009



047 Stony Dr



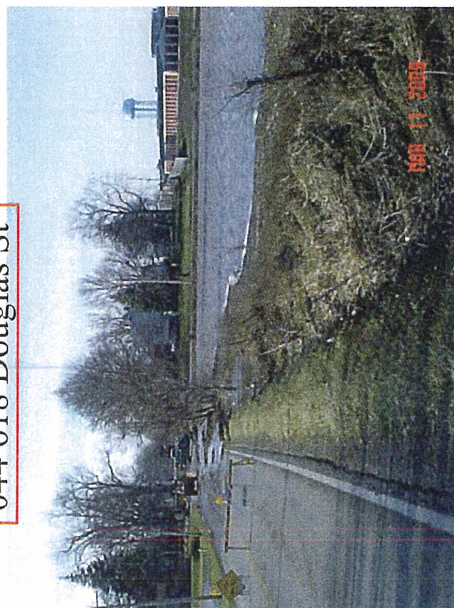
049 Thomas Park N of 7th & N Union



044 618 Douglas St



0448 Messenger 1st to 7th St



039 Indiana Ave N of CR 36 A



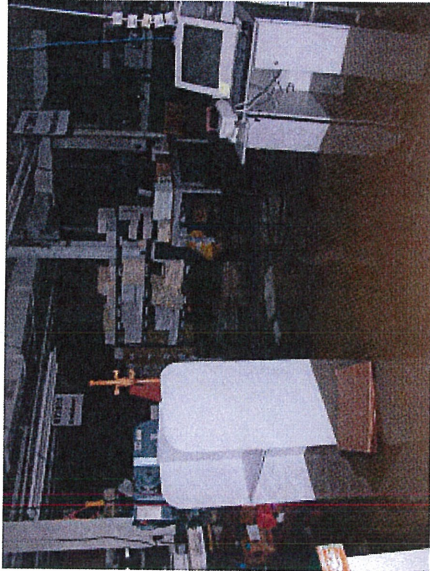
048 Stony Dr

**MESSENGER RETROFITTING PROJECT**  
318 EAST SEVENTH STREET, AUBURN, IN 46706



Aerial View of Messenger Flooding—March 11, 2009

**MESSENGER RETROFITTING PROJECT**  
**318 EAST SEVENTH STREET, AUBURN, IN 46706**



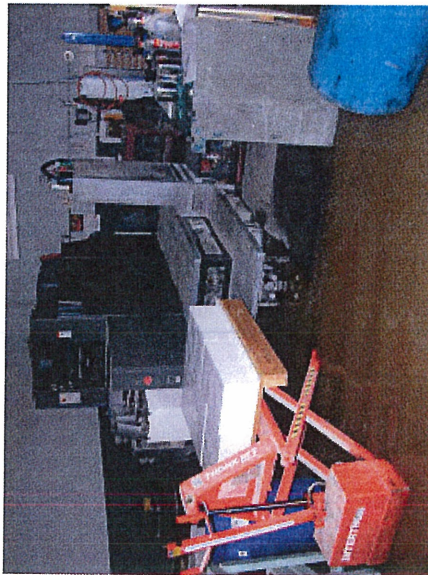
Equipment and product damaged by floodwater.



Equipment and machinery damaged by floodwater.



Most product was elevated to upper shelving during flood-light.



Equipment damaged from floodwater.



Interior Office flooding.



Safety First—Messenger employee in rubber boots, uses fiberglass pole to flip light switches to prevent electrocution.



Grant Township

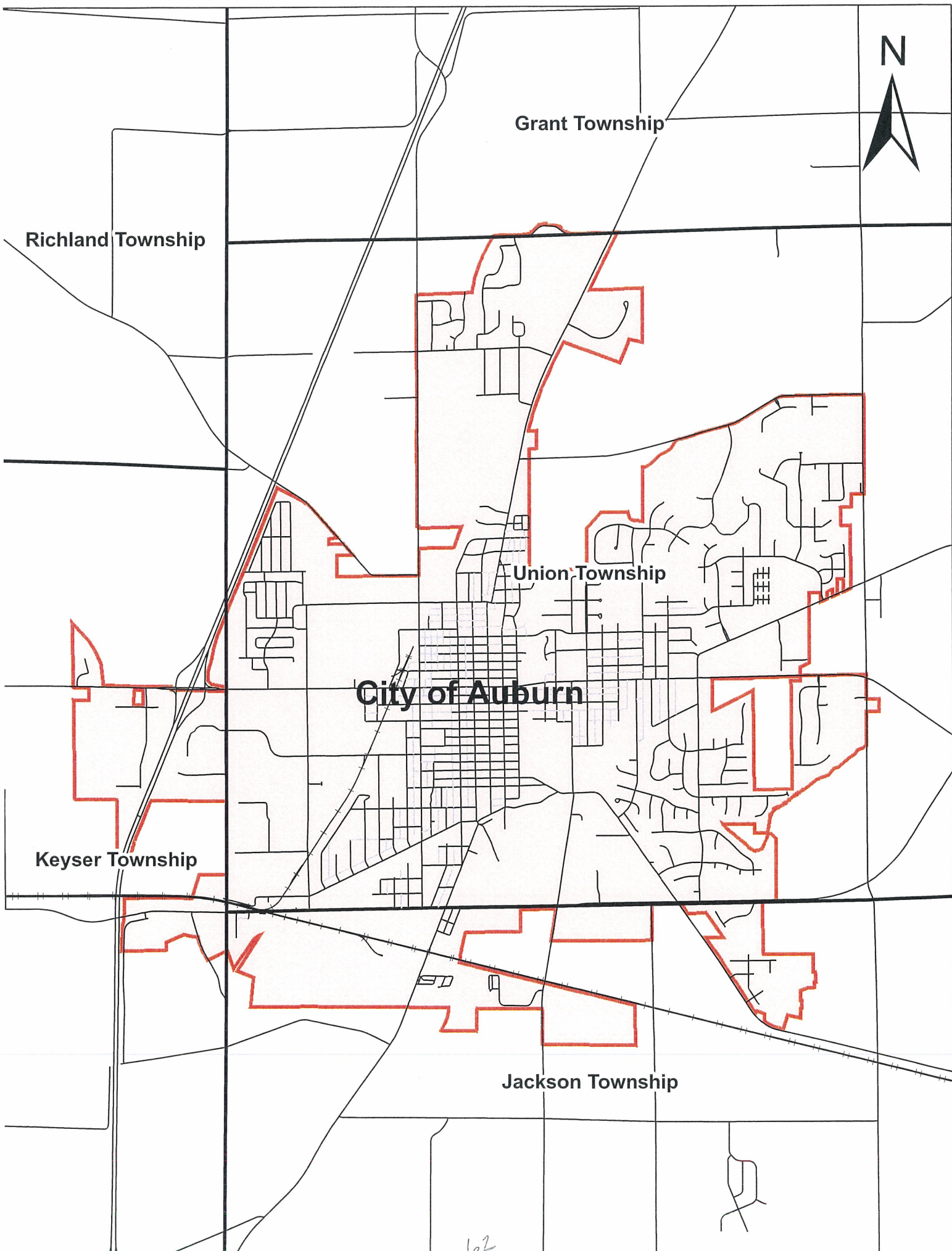
Richland Township

Union Township

**City of Auburn**

Keyser Township

Jackson Township





# Jeffrey S. Hornsby, Ph.D., SPHR

---

HornsbyConsulting  
Box 111...4319 W. Clara Ln...Muncie, Indiana...47304  
765-744-9047...hornsbyconsulting@yahoo.com

## Certification Letter

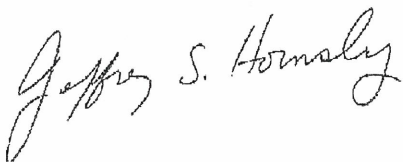
October 13, 2009

### *To Whom it May Concern:*

This letter is to certify that the consultant, Jeffrey S. Hornsby conducted an Income Survey for Auburn, Indiana. Based on our analysis, we found Auburn to have 52.88% of its persons below the low-moderate income level as prescribed by the Community Development Block Grant Program.

If you have any questions please call, (765) 744-9047.

Sincerely,



Jeffrey S. Hornsby, Ph.D.

INCOME SURVEY  
FOR  
AUBURN, INDIANA

October 13, 2009

Conducted by:

Dr. Jeffrey S. Hornsby, Ph.D.,  
Hornsby Consulting  
Box 111  
4319 W. Clara Ln.  
Muncie, IN 47304

# Auburn Indiana Income Survey

## Introduction

This report describes a study that was conducted in Auburn, Indiana to determine the proportion of residents that can be classified as moderate to low income. The consultant, Jeffrey S. Hornsby, Ph.D. was contracted to assist in conducting this study. The procedures and standards used were based on those provided by the Indiana Office of Community and Rural Programs.

The reason for conducting the study is to determine Auburn's eligibility for state and federal funding. To ensure that data were collected in an accurate, nonbiased manner, the consultant coordinated the administration of scientific procedures.

## Survey Methodology

When developing an estimate of the number of individuals who have low to moderate income, it is essential that survey methods be random and sufficiently large as to ensure representativeness. Typically, sampling procedures will identify the universe of households that will directly benefit from state or federal funding, and subsequently select samples of sufficient size as to not compromise statistical integrity. In Auburn, the targeted area for possible funding equaled 4927 households. To meet a statistically required level of representativeness, 400 households were randomly selected from the target population. In the case that a household was not reachable, a household was selected from a holdout random sample from the same target population.

The procedures involved in the Auburn income survey were conducted in three phases: development of the instrument, surveying of target households, and tallying of results from returned surveys. The instrument was developed based on an example provided by the Indiana Office of Community and Rural Programs. The low to moderate dollar criteria cut points were based on the most recent statistical information pertaining to metropolitan area income limits for families of one to eight persons. Specifically, incomes limits for Dekalb County were used to determine low- to moderate-income break points for Auburn, Indiana. A copy of the survey instrument is included in Appendix A.

Interviewers were gathered and trained in strict adherence with the procedures prescribed by the consultants. During the training session the survey instrument was described as well as techniques for conducting unbiased interviews. A sheet of interviewer tips summarizing these techniques was given to each interviewer at this time. A copy of the interviewer tip sheet is included in Appendix A.

The actual telephone surveys were conducted during the period of 9/20/2010 through 10/13/2010. Interviewers attempted to contact respondents at a time when they were likely to be home, particularly early evenings. A minimum of three follow-up contacts were initiated before the resident was considered unreachable.

## Findings

A total of 400 questionnaires were completed. With respect for residents for which no data were collected, there did not appear to be any systematic similarities which would introduce error into the survey process. Additionally, the final sample size met that required for a target population of 4927 households. The following table shows the distribution of those residences below and above the income break points for various household sizes.

**Table 1**

Number of households above and below income limits  
by household size.

Household Size	Below Households	Persons Below	Percent	Above Households	Persons Above	Percent	Total
One	93	93	39.57%	24	24	14.55%	117
Two	86	172	36.60%	68	136	41.21%	154
Three	28	84	11.91%	36	108	21.82%	64
Four	21	84	8.94%	30	120	18.18%	51
Five	2	10	0.85%	4	20	2.42%	6
Six	3	18	1.28%	1	6	0.61%	4
Seven	2	14	0.85%	2	14	1.21%	4
Eight	0	0	0.00%	0	0	0.00%	0
Total	235	475	100.00%	165	428	100.00%	400

Appendix B depicts the Auburn data in the low and moderate-income worksheet provided by the Indiana Office of Community and Rural Programs guidelines. The entries in the worksheet are taken from the data in Table 1.

Additionally, data were collected that related to various demographic attributes of the targeted Auburn population. Table 2 depicts selected demographics data for the Auburn target population.

Table 2

Selected demographics data for the target population.

White. ....	893
Black. ....	0
Hispanic. ....	8
Asian. ....	0
Native American. ....	2
Handicapped or Disabled.	44
Elderly. ....	118
Female Headed Household.	80

Conclusion

Based on the results of the Income Worksheet, it can be concluded that more than 51% of the households in the Auburn target area earn low and moderate income. This finding indicates that Auburn *does meet* the low to moderate income limits for federal and/or state funding.

APPENDIX A

## Hints for Interviewers

1. Introduce yourself.
2. Remember, some people will feel that you are intruding on their privacy. Be polite and gracious.
3. People do not have to reveal their actual income, only whether it is above or below the median point.
4. Avoid value judgments. Be as unbiased as possible about the nature of the survey.
5. Don't tell participants what a good or bad response is. People may tell you what you want to hear.
6. Be completely familiar with the survey questions.
7. Don't ask unnecessary questions.
8. Be as brief as possible.
9. Assure the respondent of confidentiality.
10. Don't pose as an expert.
11. Thank respondents for their cooperation.



Community Development Survey

Auburn, Indiana is surveying its residents to gather income information that is required to apply for a Community Focus Fund grant from the Indiana Office of Community and Rural Affairs. Your residence has been selected to be part of this project and your cooperation is very important for our success and all information will be kept confidential.

Is there more than one family residing at this address? (If yes complete a separate survey)

How many people are in your family? (A single person is a family of one.)

☺

- 1      A   B      If there is **one** person in your family, was your total income during the last 12 months, from anyone who contributed, above or below **35100?** (Please circle A or B)
- 2      A   B      If there are **two** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **40100?** (Please circle A or B)
- 3      A   B      If there are **three** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **45150?** (Please circle A or B)
- 4      A   B      If there are **four** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **50150?** (Please circle A or B)
- 5      A   B      If there are **five** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **54150?** (Please circle A or B)
- 6      A   B      If there are **six** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **58150?** (Please circle A or B)
- 7      A   B      If there are **seven** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **62200?** (Please circle A or B)
- 8+     A   B      If there are **eight or more** persons in your family, was your total income during the last 12 months, from anyone who contributed, above or below **66200?** (Please circle A or B)

Please indicate the number of family members who fall into the following categories:

White\_\_\_\_\_ Black\_\_\_\_\_ Hispanic\_\_\_\_\_ Asian\_\_\_\_\_ Indian\_\_\_\_\_

Handicapped or Disabled\_\_\_\_\_ Elderly \_\_\_\_\_

Are any individuals mixed race? Is so, please describe\_\_\_\_\_

Is this a female headed household?    \_\_\_\_\_yes    \_\_\_\_\_no

*APPENDIX B*

AUBURN, INDIANA  
LOW-MODERATE INCOME WORKSHEET

*PART A. INFORMATION OBTAINED FROM SURVEY*

1. Number of families in the target area	4927
2. Total number of families interviewed	400
3. Total number of low- and moderate income families	235
4. Total number of persons living in the low- and moderate income families interviewed	475
5. Total number of families interviewed in which the income was above the low- and moderate income level	165
6. Total number of persons living in the families in which the income was above the low- and moderate-income level.	428

*PART B. CALCULATIONS BASED ON DATA CONTAINED IN SURVEY*

7. Average size of low- and moderate households <i>(line 4 divided by line 3)</i>	2.02
8. Average size of non-low- and moderate households <i>(line 6 divided by line 5)</i>	2.59
9. Proportion of families interviewed with low- and moderate income <i>(line 3 divided by line 2)</i>	0.59
10. Proportion of families interviewed with non-low- and moderate income <i>(line 5 divided by line 2)</i>	0.41
11. Estimate of total number of low- and moderate income families in the target area <i>(line 1 multiplied by line 9)</i>	2906.93
12. Estimate of total number of non-low- and moderate income families in the target area <i>(line 1 multiplied by line 10)</i>	2020.07
13. Estimate of total number of low- and moderate income persons in the target area <i>(line 7 multiplied by line 11)</i>	5872.00
14. Estimate of total number of non-low- and moderate income persons in the target area <i>(line 8 multiplied by line 12)</i>	5231.98
15. Estimate of total number of persons in the target area <i>(line 13 added to line 14)</i>	11103.98
16. Estimated percentage of persons in target area who have low- and moderate income. <i>(line 13 divided by line 15)</i>	52.88%



## READINESS TO PROCEED CERTIFICATION

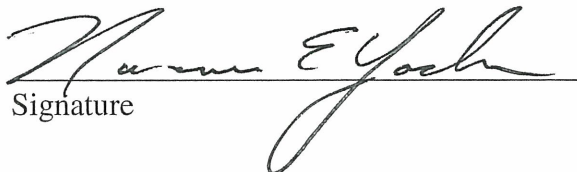
The (City/Town/County of Auburn), hereinafter referred to as "Applicant", submits this certification to the Indiana Office of Community and Rural Affairs, hereinafter referred to as "IOCRA" respective to the Applicant's application to IOCRA for federal Community Development Block Grant (CDBG) funding from IOCRA's Disaster Recovery Program, Appropriation #2, hereinafter referred to as "DR2". This certification is submitted to IOCRA to assure that the Applicant has attained sufficient readiness in order to complete the subject project within eighteen (18) months after award of the DR2 grant by IOCRA. The Applicant warrants that the project will be completed within eighteen (18) months following DR2 grant award, and herein indicates the level of readiness-to-proceed respective to the following areas:

- \* Project Financing
- \* Completion of required environmental review process
- \* Project engineering and/or architectural services
- \* Control of all interests in real property necessary to complete the project (site control)
- \* Obtaining necessary local, state and federal permits to complete the project

### Project Financing

As Chief Elected Official (CEO) of the Applicant, I hereby certify that all non-DR2 sources of funding necessary to carry out the project as listed in the project application have been secured and are available for expenditure immediately upon full execution of the DR2 grant agreement between Applicant and IOCRA, and subsequent release of funds by IOCRA. I further certify that all such project matching funds will be placed into, and disbursed from, a separate special fund in accordance with I.C. 36-1-8-12, as amended by House Enrolled Act 1542 of the Acts of the 1997 General Assembly. Pertinent comments respective to this certification are indicated below.

Norman E. Yoder, Mayor  
Typed Name and Title

  
Signature

Date: June 30, 2010

(List any comments regarding this section here)

The City of Auburn has committed \$980,000 in local match to be paid for through the City's TIF Fund. The resolution committing local match is provided on Attachment pages ~~§1~~<sup>§3</sup> and a letter from the Clerk-Treasurer stating that funds are available and set aside is provided on Attachment page ~~§4~~.

## Status of Required Environmental Review Process

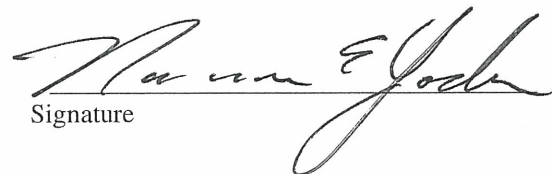
As Chief Elected Official (CEO) of the Applicant, I hereby certify that the subject project activity will require the following type of environmental review respective to 24 CFR Part 58:

**Fill in the type of activity (i.e., exempt, categorical exclusion, environmental assessment):** Wastewater Improvement Project

Except for an exempt activity, I further certify that all applicable local, state and federal agencies have been contacted in writing and the present status of the environmental review process for this project is as follows (complete all that apply):

- | YES                                 | N/A                                 |  |
|-------------------------------------|-------------------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/>            | All agencies have been contacted and all responses by these agencies have been received - no negative environmental comments received.   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | All agencies contacted - all responses received - negative environmental comments received - mitigation necessary; actions necessary to mitigate negative environmental concerns are as follows:<br>(list actions)   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | All agencies contacted - no negative environmental comments received from commenting agencies; comments still pending from following agencies: (list agencies)   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | The Indiana Department of Natural Resources has required that an archaeological reconnaissance be conducted, and this reconnaissance has been conducted, and no negative findings resulted there from; OR, negative findings resulted and the following mitigation steps are necessary: (list steps) |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Format II Equivalency completed. (N/A for Categorical Excluded)  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Environmental assessment completed - Finding of No Significant Impact (FONSI) determined - ready to publish combined notice (N/A for Categorical Excluded)   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Categorically Excluded Only – Notice of Intent to request Release of Funds - ready to be published   |

Norman E. Yoder, Mayor  
Typed Name and Title

  
Signature

Date: June 30, 2010

(List any comments regarding this section here)

Early coordination letters have been submitted and all required responses have been received. No negative environmental comments were received. The Section 106 Historical Review Process is complete. Environmental Form 5 is provided on Attachment pages 85-86.

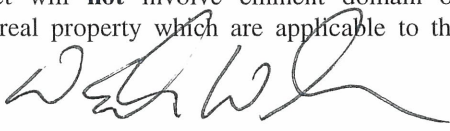
**Status of Site Control**

As the Applicant’s Legal Counsel and Project Engineer or Architect, respectively, we hereby mutually certify that the following activities have been completed respective to **all** interests in real property which are related or involved with the commencement and completion of DR2-assisted activities in accordance with the federal Uniform Relocation and Acquisitions Policies Act of 1970, as amended, hereinafter referred to as “URA”:

- | Yes                                 | N/A                                 |  |
|-------------------------------------|-------------------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/>            | All project-related sites, parcels, easements and other real property interests have been identified.  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | All title searches and title opinions have been completed.   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | All subject parcels, easements or interests are unencumbered to the extent that the same may be dedicated to the project by the owner(s) of title.   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | IOCRA’s real property acquisition questionnaire has been completed for each parcel, easement or other interest.  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | The owner(s) of record for each parcel, easement or other interest has been furnished the HUD-1041-CPD brochure entitled “When a Public Agency Acquires Your Property”, and certified mail-return receipts are on file with Applicant. |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Written notifications to owners of right to accompany appraiser for all parcels or easements have been completed and are on file.  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Completion of all fee and review appraisals for all parcels, or market value estimate if below \$10,000.   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Where applicable, written waiver of rights in accordance with the URA has been obtained from all applicable owner(s) of record.  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | All options and easement agreements completed and fully executed by owner(s) of record.  |

The undersigned further certify that the DR-2-assisted project will **not** involve eminent domain or other condemnation proceedings undertaken respective to interests in real property which are applicable to the DR2-assisted project.


W. Erik Weber, City Attorney  
 Typed Name and Title

  
 \_\_\_\_\_  
 Signature

Date: June 30, 2010

**Applicant’s Professional Engineer or Architect**

Cheryle F. Culler, P.E., Lead Engineer, Bonar Group  
 Typed Name and Title

  
 \_\_\_\_\_  
 Signature

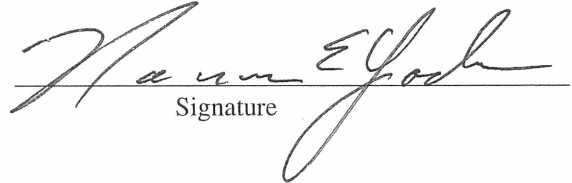
Date: June 30, 2010

(List any comments regarding this section here)

## Status of Permits

As Chief Elected Official of the Applicant, I hereby certify that all local, state and federal permits necessary to complete the project have been listed in the Disaster Recovery application document and such permits may be secured in sufficient time for the Applicant to complete the project within eighteen (18) months following grant award.

Norman E. Yoder, Mayor  
Typed Name and Title

  
Signature

Date: June 30, 2010

## Affirmation by Applicant's Professional Engineer or Architect

Cheryle F. Culler, P.E., Lead Engineer, Bonar Group  
Typed Name and Title

  
Signature

Date: June 30, 2010

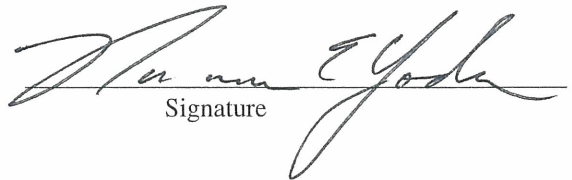
(List any comments regarding this section here)

Indiana Department of Environmental Management Water Pollution Control Facility Construction  
Permit; Sanitary Sewers (in preparation, submittal pending)

## Project Engineering and/or Architectural Services

As Chief Elected Official (CEO) of the Applicant, I hereby certify that all preliminary architectural and/or engineering services necessary to complete the environmental review process have been completed and the Applicant is prepared to immediately proceed with final architectural and/or engineering services upon award of the Disaster Recovery grant by IOCRA.

Norman E. Yoder, Mayor  
Typed Name and Title

  
Signature

Date: June 30, 2010

(List any comments regarding this section here)

The City of Auburn hired Bonar Group to prepare a preliminary engineering report. This preliminary report was prepared on July 27, 2009. Since that time, engineering has been completed and design and cost estimated have been updated as presented in this application.





"Home of the Classics"

Patricia M. Miller  
Clerk-Treasurer

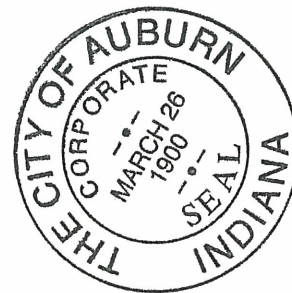
# Clerk-Treasurer's Office

PO Box 506 Auburn, Indiana 46706 | 260.925.6450 phone | 260.920.3341 fax | clerktreasurer@ci.auburn.in.us | www.ci.auburn.in.us

## C E R T I F I C A T E

I, Patricia M. Miller, the duly elected and acting Clerk-Treasurer of the Municipal City of Auburn, DeKalb County, State of Indiana, do hereby certify that attached thereto is an exact true copy of Resolution No. 03-2010 passed by the City of Auburn Common Council on the 15th day of June, 2010, and that the same is spread of record in the Ordinance & Resolution Book of the City of Auburn.

Patricia M. Miller, Clerk-Treasurer  
City of Auburn, Indiana



Received 5/24/10 via email @ 3:59pm  
Clerk-Treasurer Mailbox  
Auburn, Indiana

RESOLUTION NO. 03-2010

RESOLUTION AUTHORIZING APPLICATION SUBMISSION

SUMMARY

This Resolution supports and authorizes the submittal of the Community Development Block Grant Disaster Two (2) Application to the Indiana Office of Community and Rural Affairs addressing matters related thereto.

_____ Recorder's Office	_____x_____ Publish Public Hearing
_____ Auditor's Office	<u>6/1/10 &amp; 6/15/10 @ 6:00pm</u>
_____ Clerk's Office	_____x___ Publish O/R after adoption
_____ Other	_____

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**RESOLUTION NO. 03-2010**

**RESOLUTION AUTHORIZING APPLICATION SUBMISSION**

**WHEREAS**, the Common Council of the City of Auburn recognizes the need to stimulate and to maintain a sound economy within its core corporate limits; and

**WHEREAS**, the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) funding associated with the Supplemental Appropriations Act, 2008 Public Law 110-252, approved June 30, 2008, and Public Law 110-329, approved September 30, 2008, for the disaster relief of unmet needs resulting from tornadoes and flooding in the state, authorizes the Indiana Office of Community and Rural Affairs to provide grants to local units of government to meet the housing and community development needs of low- and moderate-income persons; and

**WHEREAS**, the City of Auburn, Indiana has conducted or will conduct public hearings prior to the submission of an application to the Indiana Office of Community and Rural Affairs, said public hearings to assess the housing, public facilities and economic needs of its low- and moderate-income residents; and

**NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF AUBURN, INDIANA:**

**Section 1.**

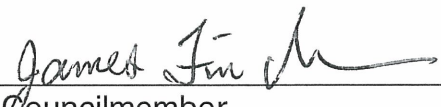
The Mayor is authorized to prepare and submit an application for grant funding to address the North Side Sanitary Sewer Improvement Project, and to execute and administer a resultant grant including requisite general administration and project management, contracts and agreements pursuant to regulations of the Indiana Office of Community and Rural Affairs and the United States Department of Housing and Urban Development.

**Section 2.**


The City of Auburn, Indiana hereby commits local funds in the amount of nine hundred and eighty thousand dollars and zero cents (\$980,000.00), in the form of Tax Increment Financing (TIF) funds for said program, such commitment to be contingent upon receipt of Disaster CDBG funding from the Indiana Office of Community and Rural Affairs.

**Section 3.**


**PASSED AND ADOPTED** by the Common Council of the City of Auburn, Indiana this  
15th day of June, 2010.

  
\_\_\_\_\_  
Councilmember

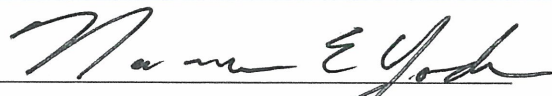
**ATTEST:**

  
\_\_\_\_\_  
Patricia Miller, Clerk-Treasurer

Presented by me to the Mayor of the City of Auburn, Indiana, this 15th day of  
June, 2010.

  
\_\_\_\_\_  
**PATRICIA MILLER**, Clerk-Treasurer

**APPROVED AND SIGNED** by me this 15th day of June, 2010.

  
\_\_\_\_\_  
**NORMAN E. YODER**, Mayor

**VOTING:**

**AYE**

**NAY**

Marilyn Gearhart

*Marilyn Gearhart*

David Painter

James Finchum

*James Finchum*

Richard Stahly

*Richard L. Stahly*

Keith Schrimshaw

*Keith Schrimshaw*

Denny Ketzenberger

*Denny Ketzenberger*

Michael Walter

*Michael Walter*



# Clerk-Treasurer's Office

PO Box 506 Auburn, Indiana 46706 | 260.925.6450 phone | 260.920.3341 fax | clerktreasurer@ci.auburn.in.us | www.ci.auburn.in.us

Patricia M. Miller  
Clerk-Treasurer

June 25, 2010

Indiana Office of Community and Rural Affairs  
Community Affairs Division  
Attn: Community Affairs Director  
One North Capitol, Suite 600  
Indianapolis, Indiana 46204

Re: North Side Sewer Project Local Match Commitment

The City of Auburn, Indiana has committed nine hundred and eight thousand (\$980,000) as matching funds for the North Side Sewer Project contingent upon receipt of Disaster Recovery 2 funding administered by the Indiana Office of Community and Rural Affairs. These matching funds are available and will be provided through the Tax Increment Financing Fund.

Sincerely,

Patricia M. Miller  
Clerk-Treasurer

**Auburn North Side Sewer Project Environmental Agency Contact Listing**

Name and Address of State/Federal Agency	Date Contacted	Date Comment Received
Mr. Robert Hilton, P.E. Chief of Plan Review Section Indiana State Board of Health Two North Meridian Street, 5E-99 Indianapolis, IN 46204	3/2/2010	3/5/2010
Mr. Scott Pruitt Field Supervisor U.S. Fish & Wildlife Service-Bloomington Field Office 620 S. Walker Street Bloomington, IN 47403-2121	3/2/2010	3/16/2010
Jane Hardisty U.S. Department of Agriculture Natural Resources Conservation Service 6013 Lakeside Blvd. Indianapolis, IN 46278	3/2/2010	3/11/2010
Nancy Hasenmueller Environmental Geology Section Indiana Geological Survey 611 N. Walnut Grove Bloomington, IN 47405	3/2/2010	3/24/2010
Mr. William W. Schenk Regional Director of National Park Service U.S. Department of Interior 1709 Jackson Street Omaha, NE 68102	3/2/2010	No Response
Ms. Christie L. Stanifer Environmental Coordinator Indiana Dept. of Natural Resources Division of Fish and Wildlife Rm W264, IGC South - 402 W. Washington St. Indianapolis, IN 46204-2641	3/2/2010	3/18/2010 4/21/2010
Mr. Eric Levenhagen Review Coordinator Indiana Dept. of Environmental Mgmt. Office of Water Mgmt. - Rm. N1301, IGC North 100 North Senate Ave. - P.O. Box 6015 Indianapolis, IN 46204	5/4/2010	5/04/2010
Dave Hacker - Consulting Party Program Manager Indiana Office of Community and Rural Affairs One North Capitol, Suite 600 Indianapolis, IN 46204-2288	3/2/2010 5/18/2010	No Response
Mr. James Glass - Consulting Party State Historic Preservation Office Div. of Historic Preservation & Archaeology 402 West Washington St., Room. W-274 Indianapolis IN, 46204-2739	3/2/2010 3/31/2010 5/18/2010	3/31/2010 4/28/2010 6/15/2010

<b>For Projects Located in Kosciusko, Noble, Elkhart, St. Joseph and Lagrange Counties</b>		
US Environmental Protection Agency Region 5 230 South Dearborn Street Chicago, IL 60604	Not Applicable	Not Applicable
<b>All Grantees should contact the following Local Agencies</b>		
Mr. Larry Stillwell - Consulting Party Archaeologist Archaeological Consultants of Ossian P.O. Box 2374 Muncie, IN 47307	3/2/2010 5/18/2010	3/13/2010 No Response
Michael Kline DeKalb County Surveyor DeKalb County Courthouse 1 <sup>st</sup> Floor 100 South Main Street Auburn, IN 46706	3/2/2010	No Response
Emily Engle DeKalb County Health Department County Office Building, Suite 201 215 E. Ninth Street Auburn, IN 46706	3/2/2010	4/20/2010
William Spohn – Consulting Party Department Administrator Department of Building and Planning and Development City of Auburn 210 S. Cedar Street, 2 <sup>nd</sup> Floor Auburn, IN 46706	3/2/2010 5/18/2010	No Response
Todd Ziegler Historic Landmarks Foundation of Indiana, Northern Regional Office Remedy Building 402 W. Washington Street South Bend, IN 46601	3/2/2010	3/5/2010
Sally Garrett-Dingley DeKalb County Historical Society, Inc. 201 E. Main Street Butler, IN 46721	3/2/2010	No Response
John Martin Smith DeKalb County Historian P.O Box 686 Auburn, IN 46706	3/2/2010	No Response
Roxanne Fike Preservation of DeKalb Heritage P.O. Box 6035 Auburn, IN 46706	3/2/2010	No Response
Steve Bruns, City Engineer City of Auburn 210 E. 9 <sup>th</sup> Street, P.O. Box 506 Auburn, IN 46706	3/2/2010	No Response



## City of Auburn Northside Sewer Project

Permit	Name	Phone	Address
IDEM Notice of Construction	Office of Water Quality – Permits Branch	(317) 232-8675	100 N. Senate Ave Indianapolis, IN 46206

## DISPLACEMENT ASSESSMENT

N/A - No displacement will occur

Use this page to assess displacement plans and strategy.

1. Describe the need for displacement:
2. Substantiate the need for displacement:
3. Explain how displacement will be minimized:
4. Explain how the negative effects of displacement will be minimized:

## LOCAL DISPLACEMENT PLAN

1. The City of Auburn will consider for submission to the Indiana Office of Community and Rural Affairs, under its various Community Development Block Grant funded programs, only projects and activities that will result in the displacement of as few persons or businesses as necessary to meet State and local development goals and objectives.
2. The City of Auburn will certify to the State, as part of its application process, that it is seeking funds for a project or activity that will minimize displacement.
3. The City of Auburn will provide referral and reasonable moving assistance, both in terms of staff time and dollars, to all persons involuntarily and permanently displaced by any project or activity funded with Community Development Block Grant funds.
4. All persons and businesses directly displaced by the City of Auburn as the result of a project or activity funded with Community Development Block Grant funds will receive all assistance required under the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, including provisions of the Uniform Relocation Act Amendments of 1987, Title IV of the Surface Transportation and Uniform Relocation Assistance Act of 1987.
5. The City of Auburn will provide reasonable benefits and relocation assistance to all persons and businesses involuntarily and permanently displaced by the Community Development Block Grant activity funded by the State in accordance with appendices attached hereto, provided they do not receive benefits as part of such action under number 4 above.

**INDIANA OFFICE OF COMMUNITY AND RURAL AFFAIRS  
COMMUNITY DEVELOPMENT BLOCK GRANT  
DISASTER RECOVERY PROGRAM ALLOCATION #2  
ASSURANCES AND CERTIFICATIONS**

The applicant hereby assures and certifies that:

- (a) It possesses legal authority to apply for the grant, and to execute the proposed program.
- (b) Its governing body has duly adopted or passed as an official act a resolution, motion or similar action authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
- (c) It has complied with all requirements of Executive Order 12372, and that either:
  - 1) Any comments or recommendations made by or through clearinghouses are attached and have been considered prior to submission of the application; or
  - 2) The required procedures have been followed and no comments or recommendations have been received prior to submission of the application.
- (d) It has facilitated or will facilitate citizen participation by:
  - 1) Publishing a statement of proposed activities so that affected citizens have an opportunity to Submit comments on the proposed activities and community development performance of the applicant;
  - 2) Providing adequate notices for at least one public hearing, specifically to persons of low and moderate income;
  - 3) Holding at least one public hearing on the proposed application at times and locations convenient to potential beneficiaries, convenient to the handicapped, and meeting needs of non-English speaking residents, if appropriate, to obtain citizens' views before adoption of a resolution or similar action by the local governing body authorizing the filing of the application;
  - 4) Providing citizens information concerning the amount of funds available for proposed community development activities and the range of those activities;
  - 5) Providing citizens with information concerning the amount of funds that will benefit persons of low and moderate income;
  - 6) Furnishing citizens with the plans made to minimize the displacement of persons and to assist persons actually displaced as a result of grant activities;
  - 7) Providing technical assistance to groups representing persons of low and moderate income requesting such assistance in developing proposals;
  - 8) Providing citizens with reasonable notice of substantial changes proposed in the use of grant funds and providing opportunity for public comment;
  - 9) Providing citizens with reasonable access to records regarding the past use of CDBG funds received; and
  - 10) Any modifications or amendments of the program that are made from time to time will be made in accordance with the same procedures required in (d) for the preparation and submission of a statement of proposed activities.
- (e) It has identified housing and community development needs, including those of low-and moderate-income persons and the activities to be undertaken to meet such needs.
- (f) The Community Development program has been developed so as to give maximum feasible priority to activities that will benefit low-and moderate-income families, or aid in the prevention or elimination of slums or blight.  
[The requirement for this certification will not preclude the Office of Community and Rural Affairs from approving an application where the applicant certifies, and the Office of Community and Rural Affairs determines, that all or part of the Community Development Program activities are designed to meet other community development needs having a particular urgency as specifically explained in the application.]
- (g) It will minimize displacement of persons and provide for reasonable benefits to any person involuntarily and permanently displaced as a result of activities associated with program funds.
- (h) It will not attempt to recover any capital costs of public improvements assisted in whole or part with CDBG funds by assessing any amount against properties owned and occupied by persons of low and moderate income including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless (i) CDBG funds

received are used to pay the proportion of such fee or assessment that relates to the capital costs of public improvements that are financed from revenue sources other than CDBG funds; or (ii) for purposes of assisting any amount against properties owned and occupied by persons of low and moderate income who are not persons of very low income, the grantee certified to the Secretary or such State, as the case may be, that it lacks sufficient funds received from CDBG Program to comply with the requirements of clause.

- (i) It will comply with all requirements imposed by the State concerning special requirements of law, program requirements, and other administrative requirements approved in accordance with OMB Circular No. A-102, Revised, which includes a provision that program or project completion be no longer than eighteen (18) months from project startup, inclusive of the bid process for professional and engineering services as well as program close-out. In addition, said provision includes an assurance of the availability of project funds, both local and private, upon award of the project grant.
- (j) It will comply with:
  - 1) Section 110 of the Housing and Community Development Act of 1974, as amended, by the Housing and Urban-Rural Recovery Act of 1983 and the Housing and Community Development Act of 1987, 24 CFR 570.603, and State regulations regarding the administration and enforcement of labor standards;
  - 2) The provisions of the Davis-Bacon Act (46 U.S.C. S 276a-5) with respect to prevailing wage rates (except for projects for the rehabilitation of residential properties of fewer than eight units);
  - 3) Contract Work Hours and Safety Standards Act of 1962, 40 U.S.C. 327-332, requiring that mechanics and laborers (including watchmen and guards) employed on federally assisted contracts be paid wages of not less than one and one-half times their basic wage rates for all hours worked in excess of forty in a work-week, and
  - 4) Federal Fair Labor Standards Act, 29 U.S.C. S 102 et seq., requiring that covered employees be paid at least the minimum prescribed wage, and also that they be paid one and one-half times their basic wage rate for all hours worked in excess of the prescribed work-week; and
  - 5) Anti-kickback (Copeland) Act of 1934, 18 U.S.C. S 874 and 40 U.S.C. S 276c, which outlaws and prescribes penalties for “kickbacks” of wages in federally financed or assisted construction activities.
- (k) It will comply with all requirements imposed by the State concerning special requirements of law, program requirements, and other administrative requirements.
- (l) It will comply with:
  - 1) Title VI of the Civil Rights Act of 1964 (Public Law 88-352), and the regulations issued pursuant thereto (24 CFR Part 1), which provides that no person in the United States shall on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant received Federal financial assistance and will immediately take any measures necessary to effectuate this assurance. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits;
  - 2) The Fair Housing Act (previously known as Title VIII of the Civil Rights Act of 1968) (Public Law 90-284), as amended, administering all programs and activities relating to housing and community development in a manner to affirmatively further fair housing in the sale or rental of housing, the financing of housing, and the provision of brokerage services;
  - 3) Section 109 of Title I of the Housing and Community Development Act of 1987, as amended, and the regulations issued pursuant thereto (24 CFR 570.602), which provides that no person in the United States shall, on the grounds of race, color, national origin, religion, or sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or part with funds provided under the Act. Any prohibition against discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to otherwise qualified handicapped individuals as provided in Section 504 of the Rehabilitation Act of 1973 shall also apply to any such program activity;
  - 4) Executive Order 11063, as amended by Executive Order 12259 on equal opportunity in housing and non-discrimination in the sale or rental of housing built with Federal assistance, and requiring that programs and activities relating to housing and urban development be administered in a manner affirmatively to further the goals of Title VIII of the Civil Rights Act of 1968; and
  - 5) Executive Order 11246 as amended by Executive Orders 11375 and 12086, and the regulations issued pursuant hereto (24 CFR Part 130 and 41 CFR Chapter 60), and the regulations issued pursuant thereto (24 CFR Part 130 and 41 CFR Chapter 60), the Indiana Code (I.C. 22-9-1-10), which provides that no person shall be discriminated

against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of Federal or federally assisted construction contracts. Contractors and subcontractors on Federal and federally assisted construction contracts shall take affirmative action to insure fair treatment in employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation and selection for training and apprenticeship.

- (m) It will comply with Section 3 of the Housing and Urban Development Act of 1968, as amended, requiring that to the greatest extent feasible opportunities for training and employment be given to lower income residents of the project area and contracts for work in connection with the project be awarded to eligible business concerns which are located in, or owned in substantial part by, persons residing within the unit of local government.
- (n) It will comply with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and Federal implementing regulation at 49 CFR Part 24, and the requirements of section 570.496a and it is following a residential anti-displacement and relocation assistance plan under section 104(d) of Title I of the Housing & Community Development Act of 1974, as amended.
- (o) It will establish safeguards to prohibit employees from using positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business or other ties.
- (p) It will comply with the provisions of the Hatch Act, which limits the political activity of employees.
- (q) It will give State, HUD and the Comptroller General through any authorized representatives, access to and the right to examine all records, books, papers, or documents related to the grant.
- (r) Its chief executive officer or other officer of applicant approved by the Office of Community and Rural Affairs:
  - 1) Consents to assume the status of a responsible Federal official under the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. S 4321 et seq.) and other provisions of Federal law, as specified at 24 CFR 58.1 (a)(3) and (a)(4), which further the purposes of NEPA insofar as the provisions of such Federal law apply to the Indiana Small Cities Development Block Grant Program; and
  - 2) Is authorized and consents on behalf of the applicant and himself/herself to accept the jurisdiction of the Federal courts for the purpose of enforcement of his/her responsibilities as such an official.
- (s) It will comply with:
  - 1) The National Environmental Policy Act of 1969 (42 U.S.C. S 4321 et seq.) and 24 CFR Part 58, and in connection with its performance of environmental assessments under the National Environmental Policy Act of 1969, comply with Section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. 470), Executive Order 11593, and the Preservation of Archaeological and Historical Data Act of 1966 (U.S.C. 469a-1, et seq.) by:
    - a) Consulting with the State Historic Preservation Officer to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800.8) by the proposed activity; and
    - b) Complying with all requirements established by the State and to avoid or mitigate adverse effects upon such properties.
  - 2) Executive Order 11988, Floodplain Management;
  - 3) Executive Order 11990, Protection of Wetlands;
  - 4) Endangered Species Act of 1973, as amended, (16 U.S.C. Section 1531 et seq.);
  - 5) The Fish and Wildlife Coordination Act of 1958, as amended, (16 U.S.C. Section 661 et seq.);
  - 6) The Wild and Scenic Rivers Act of 1968, as amended, (16 U.S.C. Section 1271);
  - 7) The Safe Drinking Water Act of 1974, as amended, (42 U.S.C. Section 300f et seq.);
  - 8) Section 401(f) of the Lead-Based Paint Poisoning Prevention Act, as amended, (42 U.S.C. Section 4831 (b));
  - 9) The Clean Air Act of 1970, as amended, (42 U.S.C. Section 7401 et seq.);
  - 10) The Federal Water Pollution Control Act of 1972, as amended, (33 U.S.C. Section 1251 et seq.);
  - 11) The Clean Water Act of 1977 (Public Law 95-217); and
  - 12) The Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. Section 6901 et seq.);
  - 13) Section 202(a) of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4106) as it relates to the mandatory purchase of flood insurance for special flood hazard areas.
- (t) It will comply with all parts of Title I of the Housing and Community Development Act of 1974, as amended, which have not been cited previously as well as with other applicable laws.

- (u) It will comply with the provisions of the Indiana Code (I.C.) 35-44-1-3 and 4.
- (v) It will abide by the provision that no member, officer, or employee of the grantee or its designees or agents, no member of the governing body of the locality in which the program is situated, and no other public official of such locality or localities who exercise any functions or responsibilities with respect to the program during the tenure or for one year thereafter shall have any direct or indirect interest in any contractor, subcontractor, or the proceeds thereof, financed in whole or in part with Title I grants.
- (w) It agrees to repay to the State of Indiana any funds under this program which, as the result of a HUD or State of Indiana authorized audit, are found to have been spent in an unauthorized manner or for unauthorized activities.
- (x) It certifies that none of the funds being applied for will be used to substitute for any local, state, federal or private dollars that have been committed to the project as proposed in this application.
- (y) It certifies that it has adopted and will enforce a policy of prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and enforcing applicable State and local laws against physically barring entrance to or exit
- (z) It certifies that pursuant to 31 U.S.C. Section 1352, and any regulations promulgated thereunder:
  - 1) no federal appropriated funds have been paid or will be paid, by or on behalf of the applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative, agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - 2) if any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the proposed Federal contract, grant, loan, or cooperative agreement, the applicant shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
  - 3) The applicant shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

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THE LEGAL APPLICANT/RECIPIENT CERTIFIES THAT:

To the best of my knowledge and belief, the recipient will comply with the above assurances if assistance is approved.

CERTIFYING REPRESENTATIVE: (To be signed by Chief Elected Official or Designee)

  
 \_\_\_\_\_  
 Signature, Chief Elected Official

Norman E. Yoder  
 Name (typed or printed)

Mayor  
 Title

June 30, 2010  
 Date

# CDBG DISCLOSURE REPORT

## PART I - APPLICANT/GRANTEE INFORMATION

1. Applicant/grantee name, address and phone number

City of Auburn  
210 E. 9<sup>th</sup> St.  
PO Box 506  
Auburn, IN 46706

2. Indicate whether this is:

Initial Report	Update Report
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Grant Number (Updates only): TBD

Federal employer identification number: 35-6000943

3. Project Assisted/to be Assisted.

a. Fiscal year: 2010/2011

b. Entitlement grant(s)

Competitive grant

c. Amount requested/received: ..... \$1,000,000

d. Program income to be used with c. above: ..... \$0

e. Total of c. and d.: .....\$1,000,000

## PART II - THRESHOLD DETERMINATIONS

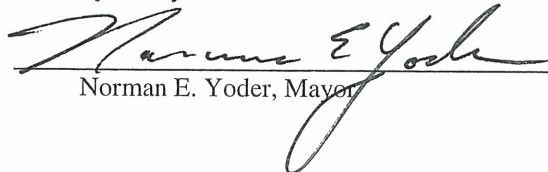
1. Is the amount listed at 3.e. (above) more than \$200,000? Yes  No

2. Have you received or applied for other HUD assistance (through programs listed in Appendix B of the instructions) which when added to 3.e. (above) amounts to more than \$200,000? Yes  No

If the answer to either 1 or 2 of this part is "yes", then you must complete the remainder of this report.

If the answer to both 1 and 2 of this part is "no", then you are not required to complete the remainder of this report, but must sign the following certification.

I hereby certify that this information is true.

  
Norman E. Yoder, Mayor

June 30, 2010



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PART III - OTHER GOVERNMENT ASSISTANCE PROVIDED/APPLIED FOR

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1. Provide the requested information for any other Federal, State and/or local governmental assistance, on hand or applied for, that will be used in conjunction with the CDBG grant. (See Appendix B of the instructions)

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Name and Address of Agency Providing or to provide Assistance	Program	Type of Assistance	Amount requested or Provided
City of Auburn	TIF Funds	Cash Match	\$980,000

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PART IV - INTERESTED PARTIES

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Alphabetical List of All Persons In With Reportable Financial Interest in the Project	SSN or Employer ID No.	Type of Participation in Project	Financial Interest Project \$ & %
City of Auburn	35-6000943	Owner	\$980,000, 49.5%

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PART V - EXPECTED SOURCES AND USES OF FUNDS

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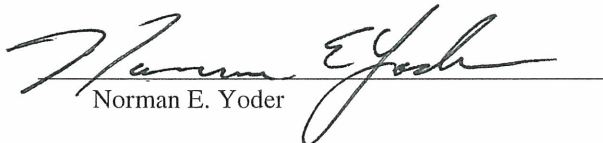
This Part requires that you identify the sources and uses of all assistance that have been or may be used in the project.

Source	Use
CDBG Disaster Recovery 2	Construction
City of Auburn TIF Funds	Construction, Environmental, Grant Administration, Engineering

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PART VI - CERTIFICATION

I hereby certify that the information provided in this disclosure is true and correct and I am aware that any false information or lack of information knowingly made or omitted may subject me to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, I am aware that if I knowingly and materially violate any required disclosure of information, including intentional non-disclosure, I am subject to a civil money penalty not to exceed \$10,000 for each violation.

  
Norman E. Yoder

June 30, 2010

## CIVIL RIGHTS CERTIFICATION

The Applicant, any contractor, any subcontractor, or any other party performing any services or having any responsibilities hereunder shall fully and completely comply with the following:

- a) Section 109 of the Housing and Community Development Act of 1974 which requires that no person in the United States shall on the grounds of race, color, national origin or sex, be excluded from the participation in, be denied the benefits of, or be subjected to, discrimination under any program or activity funded in whole or in part with Community Development Block Grant (CDBG) funds.
- b) Shall not, under any program or activity pursuant to this Agreement, directly or through contractual or other arrangements, on the grounds of race, color, national origin, sex, age, handicap status or religion:
  - i. Deny any individual any facilities, services, financial aid or other benefits provided under the program or activity.
  - ii. Provide any facilities, services, financial aid or other benefits in a different form from the provided under the program or activity.
  - iii. Subject any person to segregated or separate treatment in, or in any matter of process related to receipt of any services or benefit under the program or activity.
  - iv. Restrict an individual in any way access to, or the enjoyment of, any advantages or privilege enjoyed by others in connection with facilities, services, financial aid or any other benefits under the program or activity.
  - v. Treat an individual different from others in determining whether the individual satisfies any admission, enrollment, eligibility, membership, or other requirement or condition which the individual must meet in order to be provided any facilities, services or any benefit provided under the program or activity.
  - vi. Deny an individual an opportunity to participate in a program or activity as an employee.
- c) Shall not use criteria or methods of administration which have the effect of subjecting individuals to discrimination on the basis of race, national origin, sex, age, handicap status or religion, or have the effect of defeating or substantially impairing the accomplishment of the objectives of the Housing and Community Development Act of 1974, (as amended).
- d) In determining a site or location of housing or facilities shall not make selections of such site or location which have the effect of excluding individuals from, denying them the benefits of, or subjecting them to discrimination on the grounds of race, color, national origin, sex, age, handicap status, or religion.

The Applicant in all contracts or agreements subject to Executive Order 11246 shall be subject to HUD Equal Employment Opportunity regulations at 24 CFI Part 130 applicable to HUD assisted construction contracts.

The Applicant shall cause or require to be inserted in full in any non-exempt contract and subcontract for construction work, or modification thereof, as defined in said regulations, which is paid for in whole or in part with assistance provided under this Agreement, the following equal opportunity clause:

The Applicant will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin or handicap. Such action shall include, but not limit to the following: employment,

upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training; including apprenticeship. The applicant agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Grantor setting for the provisions of this nondiscrimination clause.

The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the applicant, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.

The Applicant/Contractor will comply with all provisions of Executive Order 11246 of September 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.

The Applicant/Contractor will comply with all provisions of Title VIII, Civil Rights Act of 1968, which prohibits discrimination in the sale or rental of dwellings, discrimination in the financing of housing, blockbusting, and discriminatory advertising and makes it unlawful to deny any person access to, or membership or participation in, any multiple listing services or real estate brokers' organization for discriminatory reasons.

The Applicant/Contractor will comply with Section 3 Housing and Urban Development Act of 1968, Section 504: Rehabilitation Act of 1974, Executive Order 11063 and all other Federal Rules and Regulations.

The Applicant will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules and regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by IOCRA and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.

In the event of the Applicant's noncompliance with the nondiscrimination clauses of an executed agreement or with any such rules, regulations, or orders, this agreement may be canceled, terminated or suspended in whole or in part and the applicant may be declared ineligible for further government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 14, 1965, or by rule, regulation, or order of the Secretary of Labor.

The Applicant shall require that the language referred to in this certification be included in the award documents for all sub-awards at all tiers (including sub-contract, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

The Legal Applicant/Recipient Certifies That:

To the best of my knowledge and belief, the recipient will comply with the above certification if assistance is approved.

Certifying Representative: (To be signed by Chief Elected Official or Designee)

  
Signature, Chief Elected Official

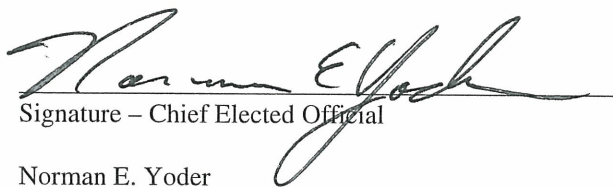
Norman E. Yoder  
Name (typed or printed)

Mayor  
Title

June 30, 2010  
Date

**DUPLICATION OF BENEFITS CERTIFICATION**

The undersigned, on behalf of and as a duly authorized agent and representative of the City of Auburn, certifies and represents that all information contained in and enclosed with the grant application is true to the best of his or her knowledge and acknowledges that the Office of Community and Rural Affairs (OCRA) has relied on such information to award disaster recovery assistance (the "assistance"). The City of Auburn certifies that it has disclosed to OCRA in the application process all insurance proceeds and other funds received, or to be received, from governmental agencies as compensation for damages resulting from these declared disasters for which assistance may be provided by OCRA. The City of Auburn certifies that it will disclose to OCRA all future insurance proceeds or other funds received from governmental agencies as compensation for damages resulting from these declared disasters for which assistance has been provided. The City of Auburn acknowledges that it may be prosecuted by Federal, State, or local authorities and/or that repayment of all disaster recovery funds may be required in the event that it makes or files false, misleading, or incomplete statements or documents.

  
Signature – Chief Elected Official

Norman E. Yoder

\_\_\_\_\_  
Printed Name

Mayor

\_\_\_\_\_  
Title

June 30, 2010